



EUROPEAN COMMISSION
Directorate-General for Justice and Consumers
JUST.H – Horizontal Affairs
H.3 – Budget, Programmes and Financial Management

GRANT AGREEMENT

Project 101160567 — e-CODEX - e-EDES

PREAMBLE

This **Agreement** ('the Agreement') is **between** the following parties:

on the one part,

the **European Union** ('EU'), represented by the European Commission ('European Commission' or 'granting authority'),

and

on the other part,

1. 'the coordinator':

GENERALNA PROKURATURA SR (GPSR), PIC 890982249, established in STUROVA 2, BRATISLAVA 81285, Slovakia,

and the following other beneficiaries, if they sign their 'accession form' (see Annex 3 and Article 40):

2. **MINISTERSTVO SPRAVEDLNOSTI (MJCR)**, PIC 974996471, established in VYSEHRADSKA 427/16, PRAHA CZ0100, Czechia,

Unless otherwise specified, references to 'beneficiary' or 'beneficiaries' include the coordinator and affiliated entities (if any).

If only one beneficiary signs the grant agreement ('mono-beneficiary grant'), all provisions referring to the 'coordinator' or the 'beneficiaries' will be considered — *mutatis mutandis* — as referring to the beneficiary.

The parties referred to above have agreed to enter into the Agreement.

By signing the Agreement and the accession forms, the beneficiaries accept the grant and agree to implement the action under their own responsibility and in accordance with the Agreement, with all the obligations and terms and conditions it sets out.

The Agreement is composed of:

Preamble

Terms and Conditions (including Data Sheet)

- Annex 1 Description of the action¹
- Annex 2 Estimated budget for the action
- Annex 3 Accession forms (if applicable)²
- Annex 3a Declaration on joint and several liability of affiliated entities (if applicable)³
- Annex 4 Model for the financial statements
- Annex 5 Specific rules (if applicable)

¹ Template published on [Portal Reference Documents](#).

² Template published on [Portal Reference Documents](#).

³ Template published on [Portal Reference Documents](#).

TERMS AND CONDITIONS

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DATA SHEET

1. General data

Project summary:

Project summary
<p>The goal of this project is to implement and configure the e-CODEX exchange platform as a communication base for the e-Evidence Digital Exchange System for General Prosecutor's Office of the Slovak Republic, to integrate it into national's system and analyse the possibility of extension reference implementation to the new national's system in Czech Republic. The key objective under the e-Justice priority is to contribute to achieving the goals of the Commission Communication on the Digitalisation of Justice in the EU and the Council European e-Justice Strategy and Action Plan 2019-2023 by supporting the implementation of e-Justice projects at the European and national level. This project will fulfil these goals. In line with the EU security agenda, and in order to effectively combat crime, there is a need to improve the possibilities for judicial authorities in different Member States to exchange electronic evidence between them. Unlike in some other countries, the establishment of the General Prosecutor's Office in Slovakia is fully independent and separate from the Ministry of Justice. Therefore, the development of electronic services is on a separate track. Slovak general prosecutor's office will connect to the e-CODEX and develop its implementation into national's system with help of the Czech Republic. Ministry of Justice of the Czech republic will use the knowledge from Slovak national's system implementation and transfer the knowledge into the future national's system development.</p>

Keywords:

- e-Justice e-CODEX e-EDES

Project number: 101160567

Project name: DIGITISING JUDICIAL COOPERATION IN CRIMINAL MATTERS WITH EU PARTNERS

Project acronym: e-CODEX - e-EDES

Call: JUST-2023-JACC-EJUSTICE

Topic: JUST-2023-JACC-EJUSTICE

Type of action: JUST Lump Sum Grants

Granting authority: European Commission-EU

Grant managed through EU Funding & Tenders Portal: Yes (eGrants)

Project starting date: first day of the month following the entry into force date

Project end date: starting date + months of duration

Project duration: 24 months

Consortium agreement: Yes

2. Participants

List of participants:

N°	Role	Short name	Legal name	Ctry	PIC	Max grant amount
1	COO	GPSR	GENERALNA PROKURATURA SR	SK	890982249	596 812.00
2	BEN	MJCR	MINISTERSTVO SPRAVEDLNOSTI	CZ	974996471	36 808.00
Total						633 620.00

Coordinator:

- GENERALNA PROKURATURA SR (GPSR)

3. Grant

Maximum grant amount, total estimated eligible costs and contributions and funding rate:

Maximum grant amount (Annex 2)	Maximum grant amount (award decision)
633 620.00	633 620.00

Grant form: Lump Sum

Grant mode: Action grant

Budget categories/activity types: Lump sum contributions

Cost eligibility options: n/a

Budget flexibility: No

4. Reporting, payments and recoveries

4.1 Continuous reporting (art 21)

Deliverables: see Funding & Tenders Portal Continuous Reporting tool

4.2 Periodic reporting and payments

Reporting and payment schedule (art 21, 22):

Reporting					Payments	
Reporting periods			Type	Deadline	Type	Deadline (time to pay)
RP No	Month from	Month to				
					Initial prefinancing	30 days from entry into force/ financial guarantee (if required) – whichever is the latest
					Final payment	90 days from receiving periodic report
1	1	24	Periodic report	60 days after end of reporting period		

Prefinancing payments and guarantees:

Prefinancing payment		Prefinancing guarantee		
Type	Amount	Guarantee amount	Division per participant	
Prefinancing 1 (initial)	506 896.00	n/a	1 - GPSR	n/a
			2 - MJCR	n/a

Reporting and payment modalities (art 21, 22):

Mutual Insurance Mechanism (MIM): No

Restrictions on distribution of initial prefinancing: The prefinancing may be distributed only if the minimum number of beneficiaries set out in the call conditions (if any) have acceded to the Agreement and only to beneficiaries that have acceded.

Interim payment ceiling (if any): 100% of the maximum grant amount

No-profit rule: n/a

Late payment interest: ECB + 3.5%

Bank account for payments:

SK3181800000007000004038

Conversion into euros: n/a

Reporting language: Language of the Agreement

4.3 Certificates (art 24): n/a

4.4 Recoveries (art 22)

First-line liability for recoveries:

Beneficiary termination: Beneficiary concerned

Final payment: Coordinator

After final payment: Beneficiary concerned

Joint and several liability for enforced recoveries (in case of non-payment):

Limited joint and several liability of other beneficiaries — up to the maximum grant amount of the beneficiary

Joint and several liability of affiliated entities — n/a

5. Consequences of non-compliance, applicable law & dispute settlement forum

Applicable law (art 43):

Standard applicable law regime: EU law + law of Belgium

Dispute settlement forum (art 43):

Standard dispute settlement forum:

EU beneficiaries: EU General Court + EU Court of Justice (on appeal)

Non-EU beneficiaries: Courts of Brussels, Belgium (unless an international agreement provides for the enforceability of EU court judgements)

6. Other

Specific rules (Annex 5): Yes

Standard time-limits after project end:

Confidentiality (for X years after final payment): 5

Record-keeping (for X years after final payment): 5 (or 3 for grants of not more than EUR 60 000)

Reviews (up to X years after final payment): 5 (or 3 for grants of not more than EUR 60 000)

Audits (up to X years after final payment): 5 (or 3 for grants of not more than EUR 60 000)

Extension of findings from other grants to this grant (no later than X years after final payment): 5 (or 3 for grants of not more than EUR 60 000)

Impact evaluation (up to X years after final payment): 5 (or 3 for grants of not more than EUR 60 000)

CHAPTER 1 GENERAL

ARTICLE 1 — SUBJECT OF THE AGREEMENT

This Agreement sets out the rights and obligations and terms and conditions applicable to the grant awarded for the implementation of the action set out in Chapter 2.

ARTICLE 2 — DEFINITIONS

For the purpose of this Agreement, the following definitions apply:

Actions — The project which is being funded in the context of this Agreement.

Grant — The grant awarded in the context of this Agreement.

EU grants — Grants awarded by EU institutions, bodies, offices or agencies (including EU executive agencies, EU regulatory agencies, EDA, joint undertakings, etc.).

Participants — Entities participating in the action as beneficiaries, affiliated entities, associated partners, third parties giving in-kind contributions, subcontractors or recipients of financial support to third parties.

Beneficiaries (BEN) — The signatories of this Agreement (either directly or through an accession form).

Affiliated entities (AE) — Entities affiliated to a beneficiary within the meaning of Article 187 of EU Financial Regulation 2018/1046⁴ which participate in the action with similar rights and obligations as the beneficiaries (obligation to implement action tasks and right to charge costs and claim contributions).

Associated partners (AP) — Entities which participate in the action, but without the right to charge costs or claim contributions.

Purchases — Contracts for goods, works or services needed to carry out the action (e.g. equipment, consumables and supplies) but which are not part of the action tasks (see Annex 1).

Subcontracting — Contracts for goods, works or services that are part of the action tasks (see Annex 1).

In-kind contributions — In-kind contributions within the meaning of Article 2(36) of EU Financial

⁴ For the definition, see Article 187 Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 ('EU Financial Regulation') (OJ L 193, 30.7.2018, p. 1): "**affiliated entities** [are]:

- (a) entities that form a sole beneficiary [(i.e. where an entity is formed of several entities that satisfy the criteria for being awarded a grant, including where the entity is specifically established for the purpose of implementing an action to be financed by a grant)];
- (b) entities that satisfy the eligibility criteria and that do not fall within one of the situations referred to in Article 136(1) and 141(1) and that have a link with the beneficiary, in particular a legal or capital link, which is neither limited to the action nor established for the sole purpose of its implementation".

Regulation 2018/1046, i.e. non-financial resources made available free of charge by third parties.

Fraud — Fraud within the meaning of Article 3 of EU Directive 2017/1371⁵ and Article 1 of the Convention on the protection of the European Communities' financial interests, drawn up by the Council Act of 26 July 1995⁶, as well as any other wrongful or criminal deception intended to result in financial or personal gain.

Irregularities — Any type of breach (regulatory or contractual) which could impact the EU financial interests, including irregularities within the meaning of Article 1(2) of EU Regulation 2988/95⁷.

Grave professional misconduct — Any type of unacceptable or improper behaviour in exercising one's profession, especially by employees, including grave professional misconduct within the meaning of Article 136(1)(c) of EU Financial Regulation 2018/1046.

Applicable EU, international and national law — Any legal acts or other (binding or non-binding) rules and guidance in the area concerned.

Portal — EU Funding & Tenders Portal; electronic portal and exchange system managed by the European Commission and used by itself and other EU institutions, bodies, offices or agencies for the management of their funding programmes (grants, procurements, prizes, etc.).

CHAPTER 2 ACTION

ARTICLE 3 — ACTION

The grant is awarded for the action **101160567 — e-CODEX - e-EDES** ('action'), as described in Annex 1.

ARTICLE 4 — DURATION AND STARTING DATE

The duration and the starting date of the action are set out in the Data Sheet (see Point 1).

CHAPTER 3 GRANT

ARTICLE 5 — GRANT

5.1 Form of grant

⁵ Directive (EU) 2017/1371 of the European Parliament and of the Council of 5 July 2017 on the fight against fraud to the Union's financial interests by means of criminal law (OJ L 198, 28.7.2017, p. 29).

⁶ OJ C 316, 27.11.1995, p. 48.

⁷ Council Regulation (EC, Euratom) No 2988/95 of 18 December 1995 on the protection of the European Communities financial interests (OJ L 312, 23.12.1995, p. 1).

The grant is an action grant⁸ which takes the form of a lump sum grant for the completion of work packages.

5.2 Maximum grant amount

The maximum grant amount is set out in the Data Sheet (see Point 3) and in the estimated budget (Annex 2).

5.3 Funding rate

Not applicable

5.4 Estimated budget, budget categories and forms of funding

The estimated budget for the action (lump sum breakdown) is set out in Annex 2.

It contains the estimated eligible contributions for the action (lump sum contributions), broken down by participant and work package.

Annex 2 also shows the types of contributions (forms of funding)⁹ to be used for each work package.

5.5 Budget flexibility

Budget flexibility does not apply; changes to the estimated budget (lump sum breakdown) always require an amendment (see Article 39).

Amendments for transfers between *work packages* are moreover possible only if:

- the work packages concerned are not already completed (and declared in a financial statement) and
- the transfers are justified by the technical implementation of the action.

ARTICLE 6 — ELIGIBLE AND INELIGIBLE CONTRIBUTIONS

6.1 and 6.2 General and specific eligibility conditions

Lump sum contributions are eligible ('eligible contributions'), if:

- (a) they are set out in Annex 2 and
- (b) the work packages are completed and the work is properly implemented by the beneficiaries and/or the results are achieved, in accordance with Annex 1 and during in the period set out in Article 4 (with the exception of work/results relating to the submission of the final periodic report, which may be achieved afterwards; see Article 21)

They will be calculated on the basis of the amounts set out in Annex 2.

⁸ For the definition, see Article 180(2)(a) EU Financial Regulation 2018/1046: '**action grant**' means an EU grant to finance "an action intended to help achieve a Union policy objective".

⁹ See Article 125 EU Financial Regulation 2018/1046.

6.3 Ineligible contributions

‘Ineligible contributions’ are:

- (a) lump sum contributions that do not comply with the conditions set out above (see Article 6.1 and 6.2)
- (b) lump sum contributions for activities already funded under other EU grants (or grants awarded by an EU Member State, non-EU country or other body implementing the EU budget), except for the following case:
 - (i) Synergy actions: not applicable
- (c) other:
 - (i) country restrictions for eligible costs: not applicable.

6.4 Consequences of non-compliance

If a beneficiary declares lump sum contributions that are ineligible, they will be rejected (see Article 27).

This may also lead to other measures described in Chapter 5.

CHAPTER 4 GRANT IMPLEMENTATION

SECTION 1 CONSORTIUM: BENEFICIARIES, AFFILIATED ENTITIES AND OTHER PARTICIPANTS

ARTICLE 7 — BENEFICIARIES

The beneficiaries, as signatories of the Agreement, are fully responsible towards the granting authority for implementing it and for complying with all its obligations.

They must implement the Agreement to their best abilities, in good faith and in accordance with all the obligations and terms and conditions it sets out.

They must have the appropriate resources to implement the action and implement the action under their own responsibility and in accordance with Article 11. If they rely on affiliated entities or other participants (see Articles 8 and 9), they retain sole responsibility towards the granting authority and the other beneficiaries.

They are jointly responsible for the *technical* implementation of the action. If one of the beneficiaries fails to implement their part of the action, the other beneficiaries must ensure that this part is implemented by someone else (without being entitled to an increase of the maximum grant amount and subject to an amendment; see Article 39). The *financial* responsibility of each beneficiary in case of recoveries is governed by Article 22.

The beneficiaries (and their action) must remain eligible under the EU programme funding the grant

for the entire duration of the action. Lump sum contributions will be eligible only as long as the beneficiary and the action are eligible.

The **internal roles and responsibilities** of the beneficiaries are divided as follows:

(a) Each beneficiary must:

- (i) keep information stored in the Portal Participant Register up to date (see Article 19)
- (ii) inform the granting authority (and the other beneficiaries) immediately of any events or circumstances likely to affect significantly or delay the implementation of the action (see Article 19)
- (iii) submit to the coordinator in good time:
 - the prefinancing guarantees (if required; see Article 23)
 - the financial statements and certificates on the financial statements (CFS): not applicable
 - the contribution to the deliverables and technical reports (see Article 21)
 - any other documents or information required by the granting authority under the Agreement
- (iv) submit via the Portal data and information related to the participation of their affiliated entities.

(b) The coordinator must:

- (i) monitor that the action is implemented properly (see Article 11)
- (ii) act as the intermediary for all communications between the consortium and the granting authority, unless the Agreement or granting authority specifies otherwise, and in particular:
 - submit the prefinancing guarantees to the granting authority (if any)
 - request and review any documents or information required and verify their quality and completeness before passing them on to the granting authority
 - submit the deliverables and reports to the granting authority
 - inform the granting authority about the payments made to the other beneficiaries (report on the distribution of payments; if required, see Articles 22 and 32)
- (iii) distribute the payments received from the granting authority to the other beneficiaries without unjustified delay (see Article 22).

The coordinator may not delegate or subcontract the above-mentioned tasks to any other beneficiary or third party (including affiliated entities).

However, coordinators which are public bodies may delegate the tasks set out in Point (b)(ii) last

indent and (iii) above to entities with ‘authorisation to administer’ which they have created or which are controlled by or affiliated to them. In this case, the coordinator retains sole responsibility for the payments and for compliance with the obligations under the Agreement.

Moreover, coordinators which are ‘sole beneficiaries’¹⁰ (or similar, such as European research infrastructure consortia (ERICs)) may delegate the tasks set out in Point (b)(i) to (iii) above to one of their members. The coordinator retains sole responsibility for compliance with the obligations under the Agreement.

The beneficiaries must have **internal arrangements** regarding their operation and co-ordination, to ensure that the action is implemented properly.

If required by the granting authority (see Data Sheet, Point 1), these arrangements must be set out in a written **consortium agreement** between the beneficiaries, covering for instance:

- the internal organisation of the consortium
- the management of access to the Portal
- different distribution keys for the payments and financial responsibilities in case of recoveries (if any)
- additional rules on rights and obligations related to background and results (see Article 16)
- settlement of internal disputes
- liability, indemnification and confidentiality arrangements between the beneficiaries.

The internal arrangements must not contain any provision contrary to this Agreement.

ARTICLE 8 — AFFILIATED ENTITIES

Not applicable

ARTICLE 9 — OTHER PARTICIPANTS INVOLVED IN THE ACTION

9.1 Associated partners

Not applicable

9.2 Third parties giving in-kind contributions to the action

Other third parties may give in-kind contributions to the action (i.e. personnel, equipment, other goods, works and services, etc. which are free-of-charge), if necessary for the implementation.

Third parties giving in-kind contributions do not implement any action tasks. They may not charge contributions to the action (no lump sum contributions) and the costs for the in-kind contributions are not eligible (may not be included in the estimated budget in Annex 2).

¹⁰ For the definition, see Article 187(2) EU Financial Regulation 2018/1046: “Where several entities satisfy the criteria for being awarded a grant and together form one entity, that entity may be treated as the **sole beneficiary**, including where it is specifically established for the purpose of implementing the action financed by the grant.”

The third parties and their in-kind contributions should be set out in Annex 1.

9.3 Subcontractors

Subcontractors may participate in the action, if necessary for the implementation.

Subcontractors must implement their action tasks in accordance with Article 11. The beneficiaries' costs for subcontracting are considered entirely covered by the lump sum contributions for implementing the work packages (irrespective of the actual subcontracting costs incurred, if any).

The beneficiaries must ensure that their contractual obligations under Articles 11 (proper implementation), 12 (conflict of interest), 13 (confidentiality and security), 14 (ethics), 17.2 (visibility), 18 (specific rules for carrying out action), 19 (information) and 20 (record-keeping) also apply to the subcontractors.

The beneficiaries must ensure that the bodies mentioned in Article 25 (e.g. granting authority, OLAF, Court of Auditors (ECA), etc.) can exercise their rights also towards the subcontractors.

9.4 Recipients of financial support to third parties

If the action includes providing financial support to third parties (e.g. grants, prizes or similar forms of support), the beneficiaries must ensure that their contractual obligations under Articles 12 (conflict of interest), 13 (confidentiality and security), 14 (ethics), 17.2 (visibility), 18 (specific rules for carrying out action), 19 (information) and 20 (record-keeping) also apply to the third parties receiving the support (recipients).

The beneficiaries must also ensure that the bodies mentioned in Article 25 (e.g. granting authority, OLAF, Court of Auditors (ECA), etc.) can exercise their rights also towards the recipients.

ARTICLE 10 — PARTICIPANTS WITH SPECIAL STATUS

10.1 Non-EU participants

Participants which are established in a non-EU country (if any) undertake to comply with their obligations under the Agreement and:

- to respect general principles (including fundamental rights, values and ethical principles, environmental and labour standards, rules on classified information, intellectual property rights, visibility of funding and protection of personal data)
- for the submission of certificates under Article 24: use qualified external auditors which are independent and comply with comparable standards as those set out in EU Directive 2006/43/EC¹¹
- for the controls under Article 25: allow for checks, reviews, audits and investigations (including on-the-spot checks, visits and inspections) by the bodies mentioned in that Article (e.g. granting authority, OLAF, Court of Auditors (ECA), etc.).

¹¹ Directive 2006/43/EC of the European Parliament and of the Council of 17 May 2006 on statutory audits of annual accounts and consolidated accounts or similar national regulations (OJ L 157, 9.6.2006, p. 87).

Special rules on dispute settlement apply (see Data Sheet, Point 5).

10.2 Participants which are international organisations

Participants which are international organisations (IOs; if any) undertake to comply with their obligations under the Agreement and:

- to respect general principles (including fundamental rights, values and ethical principles, environmental and labour standards, rules on classified information, intellectual property rights, visibility of funding and protection of personal data)
- for the submission of certificates under Article 24: to use either independent public officers or external auditors which comply with comparable standards as those set out in EU Directive 2006/43/EC
- for the controls under Article 25: to allow for the checks, reviews, audits and investigations by the bodies mentioned in that Article, taking into account the specific agreements concluded by them and the EU (if any).

For such participants, nothing in the Agreement will be interpreted as a waiver of their privileges or immunities, as accorded by their constituent documents or international law.

Special rules on applicable law and dispute settlement apply (see Article 43 and Data Sheet, Point 5).

10.3 Pillar-assessed participants

Pillar-assessed participants (if any) may rely on their own systems, rules and procedures, in so far as they have been positively assessed and do not call into question the decision awarding the grant or breach the principle of equal treatment of applicants or beneficiaries.

‘Pillar-assessment’ means a review by the European Commission on the systems, rules and procedures which participants use for managing EU grants (in particular internal control system, accounting system, external audits, financing of third parties, rules on recovery and exclusion, information on recipients and protection of personal data; see Article 154 EU Financial Regulation 2018/1046).

Participants with a positive pillar assessment may rely on their own systems, rules and procedures, in particular for:

- record-keeping (Article 20): may be done in accordance with internal standards, rules and procedures
- currency conversion for financial statements (Article 21): may be done in accordance with usual accounting practices
- guarantees (Article 23): for public law bodies, prefinancing guarantees are not needed
- certificates (Article 24):
 - certificates on the financial statements (CFS): may be provided by their regular internal or external auditors and in accordance with their internal financial regulations and procedures



- certificates on usual accounting practices (CoMUC): are not needed if those practices are covered by an ex-ante assessment

and use the following specific rules, for:

- recoveries (Article 22): in case of financial support to third parties, there will be no recovery if the participant has done everything possible to retrieve the undue amounts from the third party receiving the support (including legal proceedings) and non-recovery is not due to an error or negligence on its part
- checks, reviews, audits and investigations by the EU (Article 25): will be conducted taking into account the rules and procedures specifically agreed between them and the framework agreement (if any)
- impact evaluation (Article 26): will be conducted in accordance with the participant's internal rules and procedures and the framework agreement (if any)
- grant agreement suspension (Article 31): certain costs incurred during grant suspension are eligible (notably, minimum costs necessary for a possible resumption of the action and costs relating to contracts which were entered into before the pre-information letter was received and which could not reasonably be suspended, reallocated or terminated on legal grounds)
- grant agreement termination (Article 32): the final grant amount and final payment will be calculated taking into account also costs relating to contracts due for execution only after termination takes effect, if the contract was entered into before the pre-information letter was received and could not reasonably be terminated on legal grounds
- liability for damages (Article 33.2): the granting authority must be compensated for damage it sustains as a result of the implementation of the action or because the action was not implemented in full compliance with the Agreement only if the damage is due to an infringement of the participant's internal rules and procedures or due to a violation of third parties' rights by the participant or one of its employees or individual for whom the employees are responsible.

Participants whose pillar assessment covers procurement and granting procedures may also do purchases, subcontracting and financial support to third parties (Article 6.2) in accordance with their internal rules and procedures for purchases, subcontracting and financial support.

Participants whose pillar assessment covers data protection rules may rely on their internal standards, rules and procedures for data protection (Article 15).

The participants may however not rely on provisions which would breach the principle of equal treatment of applicants or beneficiaries or call into question the decision awarding the grant, such as in particular:

- eligibility (Article 6)
- consortium roles and set-up (Articles 7-9)
- security and ethics (Articles 13, 14)

- IPR (including background and results, access rights and rights of use), communication, dissemination and visibility (Articles 16 and 17)
- information obligation (Article 19)
- payment, reporting and amendments (Articles 21, 22 and 39)
- rejections, reductions, suspensions and terminations (Articles 27, 28, 29-32)

If the pillar assessment was subject to remedial measures, reliance on the internal systems, rules and procedures is subject to compliance with those remedial measures.

Participants whose assessment has not yet been updated to cover (the new rules on) data protection may rely on their internal systems, rules and procedures, provided that they ensure that personal data is:

- processed lawfully, fairly and in a transparent manner in relation to the data subject
- collected for specified, explicit and legitimate purposes and not further processed in a manner that is incompatible with those purposes
- adequate, relevant and limited to what is necessary in relation to the purposes for which they are processed
- accurate and, where necessary, kept up to date
- kept in a form which permits identification of data subjects for no longer than is necessary for the purposes for which the data is processed and
- processed in a manner that ensures appropriate security of the personal data.

Participants must inform the coordinator without delay of any changes to the systems, rules and procedures that were part of the pillar assessment. The coordinator must immediately inform the granting authority.

Pillar-assessed participants that have also concluded a framework agreement with the EU, may moreover — under the same conditions as those above (i.e. not call into question the decision awarding the grant or breach the principle of equal treatment of applicants or beneficiaries) — rely on provisions set out in that framework agreement.

SECTION 2 RULES FOR CARRYING OUT THE ACTION

ARTICLE 11 — PROPER IMPLEMENTATION OF THE ACTION

11.1 Obligation to properly implement the action

The beneficiaries must implement the action as described in Annex 1 and in compliance with the provisions of the Agreement, the call conditions and all legal obligations under applicable EU, international and national law.

11.2 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, the grant may be reduced (see Article 28).

Such breaches may also lead to other measures described in Chapter 5.

ARTICLE 12 — CONFLICT OF INTERESTS

12.1 Conflict of interests

The beneficiaries must take all measures to prevent any situation where the impartial and objective implementation of the Agreement could be compromised for reasons involving family, emotional life, political or national affinity, economic interest or any other direct or indirect interest ('conflict of interests').

They must formally notify the granting authority without delay of any situation constituting or likely to lead to a conflict of interests and immediately take all the necessary steps to rectify this situation.

The granting authority may verify that the measures taken are appropriate and may require additional measures to be taken by a specified deadline.

12.2 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, the grant may be reduced (see Article 28) and the grant or the beneficiary may be terminated (see Article 32).

Such breaches may also lead to other measures described in Chapter 5.

ARTICLE 13 — CONFIDENTIALITY AND SECURITY

13.1 Sensitive information

The parties must keep confidential any data, documents or other material (in any form) that is identified as sensitive in writing ('sensitive information') — during the implementation of the action and for at least until the time-limit set out in the Data Sheet (see Point 6).

If a beneficiary requests, the granting authority may agree to keep such information confidential for a longer period.

Unless otherwise agreed between the parties, they may use sensitive information only to implement the Agreement.

The beneficiaries may disclose sensitive information to their personnel or other participants involved in the action only if they:

- (a) need to know it in order to implement the Agreement and
- (b) are bound by an obligation of confidentiality.

The granting authority may disclose sensitive information to its staff and to other EU institutions and bodies.

It may moreover disclose sensitive information to third parties, if:

- (a) this is necessary to implement the Agreement or safeguard the EU financial interests and
- (b) the recipients of the information are bound by an obligation of confidentiality.

The confidentiality obligations no longer apply if:

- (a) the disclosing party agrees to release the other party
- (b) the information becomes publicly available, without breaching any confidentiality obligation
- (c) the disclosure of the sensitive information is required by EU, international or national law.

Specific confidentiality rules (if any) are set out in Annex 5.

13.2 Classified information

The parties must handle classified information in accordance with the applicable EU, international or national law on classified information (in particular, Decision 2015/444¹² and its implementing rules).

Deliverables which contain classified information must be submitted according to special procedures agreed with the granting authority.

Action tasks involving classified information may be subcontracted only after explicit approval (in writing) from the granting authority.

Classified information may not be disclosed to any third party (including participants involved in the action implementation) without prior explicit written approval from the granting authority.

Specific security rules (if any) are set out in Annex 5.

13.3 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, the grant may be reduced (see Article 28).

Such breaches may also lead to other measures described in Chapter 5.

ARTICLE 14 — ETHICS AND VALUES

14.1 Ethics

The action must be carried out in line with the highest ethical standards and the applicable EU, international and national law on ethical principles.

Specific ethics rules (if any) are set out in Annex 5.

14.2 Values

The beneficiaries must commit to and ensure the respect of basic EU values (such as respect for

¹² Commission Decision 2015/444/EC, Euratom of 13 March 2015 on the security rules for protecting EU classified information (OJ L 72, 17.3.2015, p. 53).

human dignity, freedom, democracy, equality, the rule of law and human rights, including the rights of minorities).

Specific rules on values (if any) are set out in Annex 5.

14.3 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, the grant may be reduced (see Article 28).

Such breaches may also lead to other measures described in Chapter 5.

ARTICLE 15 — DATA PROTECTION

15.1 Data processing by the granting authority

Any personal data under the Agreement will be processed under the responsibility of the data controller of the granting authority in accordance with and for the purposes set out in the Portal Privacy Statement.

For grants where the granting authority is the European Commission, an EU regulatory or executive agency, joint undertaking or other EU body, the processing will be subject to Regulation 2018/1725¹³.

15.2 Data processing by the beneficiaries

The beneficiaries must process personal data under the Agreement in compliance with the applicable EU, international and national law on data protection (in particular, Regulation 2016/679¹⁴).

They must ensure that personal data is:

- processed lawfully, fairly and in a transparent manner in relation to the data subjects
- collected for specified, explicit and legitimate purposes and not further processed in a manner that is incompatible with those purposes
- adequate, relevant and limited to what is necessary in relation to the purposes for which they are processed
- accurate and, where necessary, kept up to date
- kept in a form which permits identification of data subjects for no longer than is necessary for the purposes for which the data is processed and
- processed in a manner that ensures appropriate security of the data.

¹³ Regulation (EU) 2018/1725 of the European Parliament and of the Council of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC (OJ L 295, 21.11.2018, p. 39).

¹⁴ Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC ('GDPR') (OJ L 119, 4.5.2016, p. 1).

The beneficiaries may grant their personnel access to personal data only if it is strictly necessary for implementing, managing and monitoring the Agreement. The beneficiaries must ensure that the personnel is under a confidentiality obligation.

The beneficiaries must inform the persons whose data are transferred to the granting authority and provide them with the Portal Privacy Statement.

15.3 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, the grant may be reduced (see Article 28).

Such breaches may also lead to other measures described in Chapter 5.

ARTICLE 16 — INTELLECTUAL PROPERTY RIGHTS (IPR) — BACKGROUND AND RESULTS — ACCESS RIGHTS AND RIGHTS OF USE

16.1 Background and access rights to background

The beneficiaries must give each other and the other participants access to the background identified as needed for implementing the action, subject to any specific rules in Annex 5.

‘Background’ means any data, know-how or information — whatever its form or nature (tangible or intangible), including any rights such as intellectual property rights — that is:

- (a) held by the beneficiaries before they acceded to the Agreement and
- (b) needed to implement the action or exploit the results.

If background is subject to rights of a third party, the beneficiary concerned must ensure that it is able to comply with its obligations under the Agreement.

16.2 Ownership of results

The granting authority does not obtain ownership of the results produced under the action.

‘Results’ means any tangible or intangible effect of the action, such as data, know-how or information, whatever its form or nature, whether or not it can be protected, as well as any rights attached to it, including intellectual property rights.

16.3 Rights of use of the granting authority on materials, documents and information received for policy, information, communication, dissemination and publicity purposes

The granting authority has the right to use non-sensitive information relating to the action and materials and documents received from the beneficiaries (notably summaries for publication, deliverables, as well as any other material, such as pictures or audio-visual material, in paper or electronic form) for policy information, communication, dissemination and publicity purposes — during the action or afterwards.

The right to use the beneficiaries’ materials, documents and information is granted in the form of a royalty-free, non-exclusive and irrevocable licence, which includes the following rights:

- (a) **use for its own purposes** (in particular, making them available to persons working for the granting authority or any other EU service (including institutions, bodies, offices, agencies, etc.) or EU Member State institution or body; copying or reproducing them in whole or in part, in unlimited numbers; and communication through press information services)
- (b) **distribution to the public** (in particular, publication as hard copies and in electronic or digital format, publication on the internet, as a downloadable or non-downloadable file, broadcasting by any channel, public display or presentation, communicating through press information services, or inclusion in widely accessible databases or indexes)
- (c) **editing or redrafting** (including shortening, summarising, inserting other elements (e.g. meta-data, legends, other graphic, visual, audio or text elements), extracting parts (e.g. audio or video files), dividing into parts, use in a compilation)
- (d) **translation**
- (e) **storage** in paper, electronic or other form
- (f) **archiving**, in line with applicable document-management rules
- (g) the right to authorise **third parties** to act on its behalf or sub-license to third parties the modes of use set out in Points (b), (c), (d) and (f), if needed for the information, communication and publicity activity of the granting authority and
- (h) **processing**, analysing, aggregating the materials, documents and information received and **producing derivative works**.

The rights of use are granted for the whole duration of the industrial or intellectual property rights concerned.

If materials or documents are subject to moral rights or third party rights (including intellectual property rights or rights of natural persons on their image and voice), the beneficiaries must ensure that they comply with their obligations under this Agreement (in particular, by obtaining the necessary licences and authorisations from the rights holders concerned).

Where applicable, the granting authority will insert the following information:

“© – [year] – [name of the copyright owner]. All rights reserved. Licensed to the [name of granting authority] under conditions.”

16.4 Specific rules on IPR, results and background

Specific rules regarding intellectual property rights, results and background (if any) are set out in Annex 5.

16.5 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, the grant may be reduced (see Article 28).

Such a breach may also lead to other measures described in Chapter 5.

ARTICLE 17 — COMMUNICATION, DISSEMINATION AND VISIBILITY

17.1 Communication — Dissemination — Promoting the action

Unless otherwise agreed with the granting authority, the beneficiaries must promote the action and its results by providing targeted information to multiple audiences (including the media and the public), in accordance with Annex 1 and in a strategic, coherent and effective manner.

Before engaging in a communication or dissemination activity expected to have a major media impact, the beneficiaries must inform the granting authority.

17.2 Visibility — European flag and funding statement

Unless otherwise agreed with the granting authority, communication activities of the beneficiaries related to the action (including media relations, conferences, seminars, information material, such as brochures, leaflets, posters, presentations, etc., in electronic form, via traditional or social media, etc.), dissemination activities and any infrastructure, equipment, vehicles, supplies or major result funded by the grant must acknowledge the EU support and display the European flag (emblem) and funding statement (translated into local languages, where appropriate):



Funded by the
European Union



Co-funded by the
European Union



Funded by the
European Union



Co-funded by the
European Union

The emblem must remain distinct and separate and cannot be modified by adding other visual marks, brands or text.

Apart from the emblem, no other visual identity or logo may be used to highlight the EU support.

When displayed in association with other logos (e.g. of beneficiaries or sponsors), the emblem must be displayed at least as prominently and visibly as the other logos.

For the purposes of their obligations under this Article, the beneficiaries may use the emblem without first obtaining approval from the granting authority. This does not, however, give them the right to

exclusive use. Moreover, they may not appropriate the emblem or any similar trademark or logo, either by registration or by any other means.

17.3 Quality of information — Disclaimer

Any communication or dissemination activity related to the action must use factually accurate information.

Moreover, it must indicate the following disclaimer (translated into local languages where appropriate):

“Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or [name of the granting authority]. Neither the European Union nor the granting authority can be held responsible for them.”

17.4 Specific communication, dissemination and visibility rules

Specific communication, dissemination and visibility rules (if any) are set out in Annex 5.

17.5 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, the grant may be reduced (see Article 28).

Such breaches may also lead to other measures described in Chapter 5.

ARTICLE 18 — SPECIFIC RULES FOR CARRYING OUT THE ACTION

Not applicable

SECTION 3 GRANT ADMINISTRATION

ARTICLE 19 — GENERAL INFORMATION OBLIGATIONS

19.1 Information requests

The beneficiaries must provide — during the action or afterwards and in accordance with Article 7 — any information requested in order to verify eligibility of the lump sum contributions declared, proper implementation of the action and compliance with the other obligations under the Agreement.

The information provided must be accurate, precise and complete and in the format requested, including electronic format.

19.2 Participant Register data updates

The beneficiaries must keep — at all times, during the action or afterwards — their information stored in the Portal Participant Register up to date, in particular, their name, address, legal representatives, legal form and organisation type.

19.3 Information about events and circumstances which impact the action

The beneficiaries must immediately inform the granting authority (and the other beneficiaries) of any of the following:

- (a) **events** which are likely to affect or delay the implementation of the action or affect the EU's financial interests, in particular:
 - (i) changes in their legal, financial, technical, organisational or ownership situation (including changes linked to one of the exclusion grounds listed in the declaration of honour signed before grant signature)
 - (ii) linked action information: not applicable
- (b) **circumstances** affecting:
 - (i) the decision to award the grant or
 - (ii) compliance with requirements under the Agreement.

19.4 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, the grant may be reduced (see Article 28).

Such breaches may also lead to other measures described in Chapter 5.

ARTICLE 20 — RECORD-KEEPING

20.1 Keeping records and supporting documents

The beneficiaries must — at least until the time-limit set out in the Data Sheet (see Point 6) — keep records and other supporting documents to prove the proper implementation of the action (proper implementation of the work and/or achievement of the results as described in Annex 1) in line with the accepted standards in the respective field (if any); beneficiaries do not need to keep specific records on the actual costs incurred.

The records and supporting documents must be made available upon request (see Article 19) or in the context of checks, reviews, audits or investigations (see Article 25).

If there are on-going checks, reviews, audits, investigations, litigation or other pursuits of claims under the Agreement (including the extension of findings; see Article 25), the beneficiaries must keep these records and other supporting documentation until the end of these procedures.

The beneficiaries must keep the original documents. Digital and digitalised documents are considered originals if they are authorised by the applicable national law. The granting authority may accept non-original documents if they offer a comparable level of assurance.

20.2 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, lump sum contributions insufficiently substantiated will be ineligible (see Article 6) and will be rejected (see Article 27), and the grant may be reduced (see Article 28).

Such breaches may also lead to other measures described in Chapter 5.

ARTICLE 21 — REPORTING

21.1 Continuous reporting

The beneficiaries must continuously report on the progress of the action (e.g. **deliverables, milestones, outputs/outcomes, critical risks, indicators**, etc; if any), in the Portal Continuous Reporting tool and in accordance with the timing and conditions it sets out (as agreed with the granting authority).

Standardised deliverables (e.g. progress reports not linked to payments, reports on cumulative expenditure, special reports, etc; if any) must be submitted using the templates published on the Portal.

21.2 Periodic reporting: Technical reports and financial statements

In addition, the beneficiaries must provide reports to request payments, in accordance with the schedule and modalities set out in the Data Sheet (see Point 4.2):

- for additional prefinancings (if any): **an additional prefinancing report**
- for interim payments (if any) and the final payment: a **periodic report**

The prefinancing and periodic reports include a technical and financial part.

The technical part includes an overview of the action implementation. It must be prepared using the template available in the Portal Periodic Reporting tool.

The financial part of the additional prefinancing report includes a statement on the use of the previous prefinancing payment.

The financial part of the periodic report includes:

- the financial statement (consolidated statement for the consortium)
- the explanation on the use of resources (or detailed cost reporting table): not applicable
- the certificates on the financial statements (CFS): not applicable.

The **financial statement** must contain the lump sum contributions indicated in Annex 2, for the work packages that were completed during the reporting period.

For the last reporting period, the beneficiaries may exceptionally also declare partial lump sum contributions for work packages that were not completed (e.g. due to force majeure or technical impossibility).

Lump sum contributions which are not declared in a financial statement will not be taken into account by the granting authority.

By signing the financial statement (directly in the Portal Periodic Reporting tool), the coordinator confirms (on behalf of the consortium) that:

- the information provided is complete, reliable and true

- the lump sum contributions declared are eligible (in particular, the work packages have been completed, that the work has been properly implemented and/or the results were achieved in accordance with Annex 1; see Article 6)
- the proper implementation and/or achievement can be substantiated by adequate records and supporting documents (see Article 20) that will be produced upon request (see Article 19) or in the context of checks, reviews, audits and investigations (see Article 25).

In case of recoveries (see Article 22), beneficiaries will be held responsible also for the lump sum contributions declared for their affiliated entities (if any).

21.3 Currency for financial statements and conversion into euros

The financial statements must be drafted in euro.

21.4 Reporting language

The reporting must be in the language of the Agreement, unless otherwise agreed with the granting authority (see Data Sheet, Point 4.2).

21.5 Consequences of non-compliance

If a report submitted does not comply with this Article, the granting authority may suspend the payment deadline (see Article 29) and apply other measures described in Chapter 5.

If the coordinator breaches its reporting obligations, the granting authority may terminate the grant or the coordinator's participation (see Article 32) or apply other measures described in Chapter 5.

ARTICLE 22 — PAYMENTS AND RECOVERIES — CALCULATION OF AMOUNTS DUE

22.1 Payments and payment arrangements

Payments will be made in accordance with the schedule and modalities set out in the Data Sheet (see Point 4.2).

They will be made in euro to the bank account indicated by the coordinator (see Data Sheet, Point 4.2) and must be distributed without unjustified delay (restrictions may apply to distribution of the initial prefinancing payment; see Data Sheet, Point 4.2).

Payments to this bank account will discharge the granting authority from its payment obligation.

The cost of payment transfers will be borne as follows:

- the granting authority bears the cost of transfers charged by its bank
- the beneficiary bears the cost of transfers charged by its bank
- the party causing a repetition of a transfer bears all costs of the repeated transfer.

Payments by the granting authority will be considered to have been carried out on the date when they are debited to its account.

22.2 Recoveries

Recoveries will be made, if — at beneficiary termination, final payment or afterwards — it turns out that the granting authority has paid too much and needs to recover the amounts undue.

The general liability regime for recoveries (first-line liability) is as follows: At final payment, the coordinator will be fully liable for recoveries, even if it has not been the final recipient of the undue amounts. At beneficiary termination or after final payment, recoveries will be made directly against the beneficiaries concerned.

Beneficiaries will be fully liable for repaying the debts of their affiliated entities.

In case of enforced recoveries (see Article 22.4):

- the beneficiaries will be jointly and severally liable for repaying debts of another beneficiary under the Agreement (including late-payment interest), if required by the granting authority (see Data Sheet, Point 4.4)
- affiliated entities will be held liable for repaying debts of their beneficiaries under the Agreement (including late-payment interest), if required by the granting authority (see Data Sheet, Point 4.4).

22.3 Amounts due

22.3.1 Prefinancing payments

The aim of the prefinancing is to provide the beneficiaries with a float.

It remains the property of the EU until the final payment.

For **initial prefinancings** (if any), the amount due, schedule and modalities are set out in the Data Sheet (see Point 4.2).

For **additional prefinancings** (if any), the amount due, schedule and modalities are also set out in the Data Sheet (see Point 4.2). However, if the statement on the use of the previous prefinancing payment shows that less than 70% was used, the amount set out in the Data Sheet will be reduced by the difference between the 70% threshold and the amount used.

Prefinancing payments (or parts of them) may be offset (without the beneficiaries' consent) against amounts owed by a beneficiary to the granting authority — up to the amount due to that beneficiary.

For grants where the granting authority is the European Commission or an EU executive agency, offsetting may also be done against amounts owed to other Commission services or executive agencies.

Payments will not be made if the payment deadline or payments are suspended (see Articles 29 and 30).

22.3.2 Amount due at beneficiary termination — Recovery

In case of beneficiary termination, the granting authority will determine the provisional amount due for the beneficiary concerned.

This will be done on the basis of work packages already completed in previous interim payments. Payments for ongoing/not yet completed work packages which the beneficiary was working on before termination (if any) will therefore be made only later on, with the next interim or final payments when those work packages have been completed.

The **amount due** will be calculated in the following step:

Step 1 — Calculation of the total accepted EU contribution

Step 1 — Calculation of the total accepted EU contribution

The granting authority will first calculate the ‘accepted EU contribution’ for the beneficiary, on the basis of the beneficiary’s lump sum contributions for the work packages which were approved in previous interim payments.

After that, the granting authority will take into account grant reductions (if any). The resulting amount is the ‘total accepted EU contribution’ for the beneficiary.

The **balance** is then calculated by deducting the payments received (if any; see report on the distribution of payments in Article 32), from the total accepted EU contribution:

$$\left\{ \begin{array}{l} \text{total accepted EU contribution for the beneficiary} \\ \text{minus} \\ \text{prefinancing and interim payments received (if any)} \end{array} \right\}.$$

If the balance is **negative**, it will be **recovered** in accordance with the following procedure:

The granting authority will send a **pre-information letter** to the beneficiary concerned:

- formally notifying the intention to recover, the amount due, the amount to be recovered and the reasons why and
- requesting observations within 30 days of receiving notification.

If no observations are submitted (or the granting authority decides to pursue recovery despite the observations it has received), it will confirm the amount to be recovered and ask this amount to be paid to the coordinator (**confirmation letter**).

22.3.3 Interim payments

Interim payments reimburse the eligible lump sum contributions claimed for work packages implemented during the reporting periods (if any).

Interim payments (if any) will be made in accordance with the schedule and modalities set out the Data Sheet (see Point 4.2).

Payment is subject to the approval of the periodic report and the work packages declared. Their approval does not imply recognition of compliance, authenticity, completeness or correctness of their content.

Incomplete work packages and work packages that have not been delivered or cannot be approved will be rejected (see Article 27).

The **interim payment** will be calculated by the granting authority in the following steps:

Step 1 — Calculation of the total accepted EU contribution

Step 2 — Limit to the interim payment ceiling

Step 1 — Calculation of the total accepted EU contribution

The granting authority will first calculate the ‘accepted EU contribution’ for the action for the reporting period, by calculating the lump sum contributions for the approved work packages.

After that, the granting authority will take into account grant reductions from beneficiary termination (if any). The resulting amount is the ‘total accepted EU contribution’.

Step 2 — Limit to the interim payment ceiling

The resulting amount is then capped to ensure that the total amount of prefinancing and interim payments (if any) does not exceed the interim payment ceiling set out in the Data Sheet (see Point 4.2).

Interim payments (or parts of them) may be offset (without the beneficiaries’ consent) against amounts owed by a beneficiary to the granting authority — up to the amount due to that beneficiary.

For grants where the granting authority is the European Commission or an EU executive agency, offsetting may also be done against amounts owed to other Commission services or executive agencies.

Payments will not be made if the payment deadline or payments are suspended (see Articles 29 and 30).

22.3.4 Final payment — Final grant amount — Revenues and Profit — Recovery

The final payment (payment of the balance) reimburses the remaining eligible lump sum contributions claimed for the implemented work packages (if any).

The final payment will be made in accordance with the schedule and modalities set out in the Data Sheet (see Point 4.2).

Payment is subject to the approval of the final periodic report and the work packages declared. Their approval does not imply recognition of compliance, authenticity, completeness or correctness of their content.

Work packages (or parts of them) that have not been delivered or cannot be approved will be rejected (see Article 27).

The **final grant amount for the action** will be calculated in the following steps:

Step 1 — Calculation of the total accepted EU contribution

Step 2 — Limit to the maximum grant amount

Step 3 — Reduction due to the no-profit rule

Step 1 — Calculation of the total accepted EU contribution

The granting authority will first calculate the ‘accepted EU contribution’ for the action for all reporting periods, by calculating the lump sum contributions for the approved work packages.

After that, the granting authority will take into account grant reductions (if any). The resulting amount is the ‘total accepted EU contribution’.

Step 2 — Limit to the maximum grant amount

Not applicable

Step 3 — Reduction due to the no-profit rule

Not applicable

The **balance** (final payment) is then calculated by deducting the total amount of prefinancing and interim payments already made (if any), from the final grant amount:

$$\begin{aligned} & \{\text{final grant amount} \\ & \text{minus} \\ & \{\text{prefinancing and interim payments made (if any)}\} \}. \end{aligned}$$

If the balance is **positive**, it will be **paid** to the coordinator.

The final payment (or part of it) may be offset (without the beneficiaries’ consent) against amounts owed by a beneficiary to the granting authority — up to the amount due to that beneficiary.

For grants where the granting authority is the European Commission or an EU executive agency, offsetting may also be done against amounts owed to other Commission services or executive agencies.

Payments will not be made if the payment deadline or payments are suspended (see Articles 29 and 30).

If the balance is **negative**, it will be **recovered** in accordance with the following procedure:

The granting authority will send a **pre-information letter** to the coordinator:

- formally notifying the intention to recover, the final grant amount, the amount to be recovered and the reasons why
- requesting observations within 30 days of receiving notification.

If no observations are submitted (or the granting authority decides to pursue recovery despite the observations it has received), it will confirm the amount to be recovered (**confirmation letter**), together with a **debit note** with the terms and date for payment.

If payment is not made by the date specified in the debit note, the granting authority will **enforce recovery** in accordance with Article 22.4.

22.3.5 Audit implementation after final payment — Revised final grant amount — Recovery

If — after the final payment (in particular, after checks, reviews, audits or investigations; see

Article 25) — the granting authority rejects lump sum contributions (see Article 27) or reduces the grant (see Article 28), it will calculate the **revised final grant amount** for the beneficiary concerned.

The **beneficiary revised final grant amount** will be calculated in the following step:

Step 1 — Calculation of the revised total accepted EU contribution

Step 1 — Calculation of the revised total accepted EU contribution

The granting authority will first calculate the ‘revised accepted EU contribution’ for the beneficiary, by calculating the ‘revised accepted contributions’.

After that, it will take into account grant reductions (if any). The resulting ‘revised total accepted EU contribution’ is the beneficiary revised final grant amount.

If the revised final grant amount is lower than the beneficiary’s final grant amount (i.e. its share in the final grant amount for the action), it will be **recovered** in accordance with the following procedure:

The **beneficiary final grant amount** (i.e. share in the final grant amount for the action) is calculated as follows:

$$\left\{ \begin{array}{l} \text{\{total accepted EU contribution for the beneficiary} \\ \text{divided by} \\ \text{total accepted EU contribution for the action\}} \\ \text{multiplied by} \\ \text{final grant amount for the action\}}. \end{array} \right.$$

The granting authority will send a **pre-information letter** to the beneficiary concerned:

- formally notifying the intention to recover, the amount to be recovered and the reasons why and
- requesting observations within 30 days of receiving notification.

If no observations are submitted (or the granting authority decides to pursue recovery despite the observations it has received), it will confirm the amount to be recovered (**confirmation letter**), together with a **debit note** with the terms and the date for payment.

Recoveries against affiliated entities (if any) will be handled through their beneficiaries.

If payment is not made by the date specified in the debit note, the granting authority will **enforce recovery** in accordance with Article 22.4.

22.4 Enforced recovery

If payment is not made by the date specified in the debit note, the amount due will be recovered:

- (a) by offsetting the amount — without the coordinator or beneficiary’s consent — against any amounts owed to the coordinator or beneficiary by the granting authority.

In exceptional circumstances, to safeguard the EU financial interests, the amount may be offset before the payment date specified in the debit note.

For grants where the granting authority is the European Commission or an EU executive agency, debts may also be offset against amounts owed by other Commission services or executive agencies.

- (b) by drawing on the financial guarantee(s) (if any)
- (c) by holding other beneficiaries jointly and severally liable (if any; see Data Sheet, Point 4.4)
- (d) by holding affiliated entities jointly and severally liable (if any, see Data Sheet, Point 4.4)
- (e) by taking legal action (see Article 43) or, provided that the granting authority is the European Commission or an EU executive agency, by adopting an enforceable decision under Article 299 of the Treaty on the Functioning of the EU (TFEU) and Article 100(2) of EU Financial Regulation 2018/1046.

The amount to be recovered will be increased by **late-payment interest** at the rate set out in Article 23.5, from the day following the payment date in the debit note, up to and including the date the full payment is received.

Partial payments will be first credited against expenses, charges and late-payment interest and then against the principal.

Bank charges incurred in the recovery process will be borne by the beneficiary, unless Directive 2015/2366¹⁵ applies.

For grants where the granting authority is an EU executive agency, enforced recovery by offsetting or enforceable decision will be done by the services of the European Commission (see also Article 43).

22.5 Consequences of non-compliance

22.5.1 If the granting authority does not pay within the payment deadlines (see above), the beneficiaries are entitled to **late-payment interest** at the reference rate applied by the European Central Bank (ECB) for its main refinancing operations in euros, plus the percentage specified in the Data Sheet (Point 4.2). The ECB reference rate to be used is the rate in force on the first day of the month in which the payment deadline expires, as published in the C series of the *Official Journal of the European Union*.

If the late-payment interest is lower than or equal to EUR 200, it will be paid to the coordinator only on request submitted within two months of receiving the late payment.

Late-payment interest is not due if all beneficiaries are EU Member States (including regional and local government authorities or other public bodies acting on behalf of a Member State for the purpose of this Agreement).

If payments or the payment deadline are suspended (see Articles 29 and 30), payment will not be considered as late.

¹⁵ Directive (EU) 2015/2366 of the European Parliament and of the Council of 25 November 2015 on payment services in the internal market, amending Directives 2002/65/EC, 2009/110/EC and 2013/36/EU and Regulation (EU) No 1093/2010, and repealing Directive 2007/64/EC (OJ L 337, 23.12.2015, p. 35).

Late-payment interest covers the period running from the day following the due date for payment (see above), up to and including the date of payment.

Late-payment interest is not considered for the purposes of calculating the final grant amount.

22.5.2 If the coordinator breaches any of its obligations under this Article, the grant may be reduced (see Article 28) and the grant or the coordinator may be terminated (see Article 32).

Such breaches may also lead to other measures described in Chapter 5.

ARTICLE 23 — GUARANTEES

23.1 Prefinancing guarantee

If required by the granting authority (see Data Sheet, Point 4.2), the beneficiaries must provide (one or more) prefinancing guarantee(s) in accordance with the timing and the amounts set out in the Data Sheet.

The coordinator must submit them to the granting authority in due time before the prefinancing they are linked to.

The guarantees must be drawn up using the template published on the Portal and fulfil the following conditions:

- (a) be provided by a bank or approved financial institution established in the EU or — if requested by the coordinator and accepted by the granting authority — by a third party or a bank or financial institution established outside the EU offering equivalent security
- (b) the guarantor stands as first-call guarantor and does not require the granting authority to first have recourse against the principal debtor (i.e. the beneficiary concerned) and
- (c) remain explicitly in force until the final payment and, if the final payment takes the form of a recovery, until five months after the debit note is notified to a beneficiary.

They will be released within the following month.

23.2 Consequences of non-compliance

If the beneficiaries breach their obligation to provide the prefinancing guarantee, the prefinancing will not be paid.

Such breaches may also lead to other measures described in Chapter 5.

ARTICLE 24 — CERTIFICATES

Not applicable

ARTICLE 25 — CHECKS, REVIEWS, AUDITS AND INVESTIGATIONS — EXTENSION OF FINDINGS

25.1 Granting authority checks, reviews and audits

25.1.1 Internal checks

The granting authority may — during the action or afterwards — check the proper implementation of the action and compliance with the obligations under the Agreement, including assessing lump sum contributions, deliverables and reports.

25.1.2 Project reviews

The granting authority may carry out reviews on the proper implementation of the action and compliance with the obligations under the Agreement (general project reviews or specific issues reviews).

Such project reviews may be started during the implementation of the action and until the time-limit set out in the Data Sheet (see Point 6). They will be formally notified to the coordinator or beneficiary concerned and will be considered to start on the date of the notification.

If needed, the granting authority may be assisted by independent, outside experts. If it uses outside experts, the coordinator or beneficiary concerned will be informed and have the right to object on grounds of commercial confidentiality or conflict of interest.

The coordinator or beneficiary concerned must cooperate diligently and provide — within the deadline requested — any information and data in addition to deliverables and reports already submitted. The granting authority may request beneficiaries to provide such information to it directly. Sensitive information and documents will be treated in accordance with Article 13.

The coordinator or beneficiary concerned may be requested to participate in meetings, including with the outside experts.

For **on-the-spot visits**, the beneficiary concerned must allow access to sites and premises (including to the outside experts) and must ensure that information requested is readily available.

Information provided must be accurate, precise and complete and in the format requested, including electronic format.

On the basis of the review findings, a **project review report** will be drawn up.

The granting authority will formally notify the project review report to the coordinator or beneficiary concerned, which has 30 days from receiving notification to make observations.

Project reviews (including project review reports) will be in the language of the Agreement, unless otherwise agreed with the granting authority (see Data Sheet, Point 4.2).

25.1.3 Audits

The granting authority may carry out audits on the proper implementation of the action and compliance with the obligations under the Agreement.

Such audits may be started during the implementation of the action and until the time-limit set out in the Data Sheet (see Point 6). They will be formally notified to the beneficiary concerned and will be considered to start on the date of the notification.

The granting authority may use its own audit service, delegate audits to a centralised service or use

external audit firms. If it uses an external firm, the beneficiary concerned will be informed and have the right to object on grounds of commercial confidentiality or conflict of interest.

The beneficiary concerned must cooperate diligently and provide — within the deadline requested — any information (including complete accounts, individual salary statements or other personal data) to verify compliance with the Agreement. Sensitive information and documents will be treated in accordance with Article 13.

For **on-the-spot** visits, the beneficiary concerned must allow access to sites and premises (including for the external audit firm) and must ensure that information requested is readily available.

Information provided must be accurate, precise and complete and in the format requested, including electronic format.

On the basis of the audit findings, a **draft audit report** will be drawn up.

The auditors will formally notify the draft audit report to the beneficiary concerned, which has 30 days from receiving notification to make observations (contradictory audit procedure).

The **final audit report** will take into account observations by the beneficiary concerned and will be formally notified to them.

Audits (including audit reports) will be in the language of the Agreement, unless otherwise agreed with the granting authority (see Data Sheet, Point 4.2).

25.2 European Commission checks, reviews and audits in grants of other granting authorities

Where the granting authority is not the European Commission, the latter has the same rights of checks, reviews and audits as the granting authority.

25.3 Access to records for assessing simplified forms of funding

The beneficiaries must give the European Commission access to their statutory records for the periodic assessment of simplified forms of funding which are used in EU programmes.

25.4 OLAF, EPPO and ECA audits and investigations

The following bodies may also carry out checks, reviews, audits and investigations — during the action or afterwards:

- the European Anti-Fraud Office (OLAF) under Regulations No 883/2013¹⁶ and No 2185/96¹⁷
- the European Public Prosecutor's Office (EPPO) under Regulation 2017/1939

¹⁶ Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18/09/2013, p. 1).

¹⁷ Council Regulation (Euratom, EC) No 2185/96 of 11 November 1996 concerning on-the-spot checks and inspections carried out by the Commission in order to protect the European Communities' financial interests against fraud and other irregularities (OJ L 292, 15/11/1996, p. 2).

- the European Court of Auditors (ECA) under Article 287 of the Treaty on the Functioning of the EU (TFEU) and Article 257 of EU Financial Regulation 2018/1046.

If requested by these bodies, the beneficiary concerned must provide full, accurate and complete information in the format requested (including complete accounts, individual salary statements or other personal data, including in electronic format) and allow access to sites and premises for on-the-spot visits or inspections — as provided for under these Regulations.

To this end, the beneficiary concerned must keep all relevant information relating to the action, at least until the time-limit set out in the Data Sheet (Point 6) and, in any case, until any ongoing checks, reviews, audits, investigations, litigation or other pursuits of claims have been concluded.

25.5 Consequences of checks, reviews, audits and investigations — Extension of findings

25.5.1 Consequences of checks, reviews, audits and investigations in this grant

Findings in checks, reviews, audits or investigations carried out in the context of this grant may lead to rejections (see Article 27), grant reduction (see Article 28) or other measures described in Chapter 5.

Rejections or grant reductions after the final payment will lead to a revised final grant amount (see Article 22).

Findings in checks, reviews, audits or investigations during the action implementation may lead to a request for amendment (see Article 39), to change the description of the action set out in Annex 1.

Checks, reviews, audits or investigations that find systemic or recurrent errors, irregularities, fraud or breach of obligations in any EU grant may also lead to consequences in other EU grants awarded under similar conditions ('extension to other grants').

Moreover, findings arising from an OLAF or EPPO investigation may lead to criminal prosecution under national law.

25.5.2 Extension from other grants

Findings of checks, reviews, audits or investigations in other grants may be extended to this grant, if:

- (a) the beneficiary concerned is found, in other EU grants awarded under similar conditions, to have committed systemic or recurrent errors, irregularities, fraud or breach of obligations that have a material impact on this grant and
- (b) those findings are formally notified to the beneficiary concerned — together with the list of grants affected by the findings — within the time-limit for audits set out in the Data Sheet (see Point 6).

The granting authority will formally notify the beneficiary concerned of the intention to extend the findings and the list of grants affected.

If the extension concerns **rejections of lump sum contributions**: the notification will include:

- (a) an invitation to submit observations on the list of grants affected by the findings
- (b) the request to submit revised financial statements for all grants affected

- (c) the correction rate for extrapolation, established on the basis of the systemic or recurrent errors, to calculate the amounts to be rejected, if the beneficiary concerned:
 - (i) considers that the submission of revised financial statements is not possible or practicable or
 - (ii) does not submit revised financial statements.

If the extension concerns **grant reductions**: the notification will include:

- (a) an invitation to submit observations on the list of grants affected by the findings and
- (b) the **correction rate for extrapolation**, established on the basis of the systemic or recurrent errors and the principle of proportionality.

The beneficiary concerned has **60 days** from receiving notification to submit observations, revised financial statements or to propose a duly substantiated **alternative correction method/rate**.

On the basis of this, the granting authority will analyse the impact and decide on the implementation (i.e. start rejection or grant reduction procedures, either on the basis of the revised financial statements or the announced/alternative method/rate or a mix of those; see Articles 27 and 28).

25.6 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, lump sum contributions insufficiently substantiated will be ineligible (see Article 6) and will be rejected (see Article 27), and the grant may be reduced (see Article 28).

Such breaches may also lead to other measures described in Chapter 5.

ARTICLE 26 — IMPACT EVALUATIONS

26.1 Impact evaluation

The granting authority may carry out impact evaluations of the action, measured against the objectives and indicators of the EU programme funding the grant.

Such evaluations may be started during implementation of the action and until the time-limit set out in the Data Sheet (see Point 6). They will be formally notified to the coordinator or beneficiaries and will be considered to start on the date of the notification.

If needed, the granting authority may be assisted by independent outside experts.

The coordinator or beneficiaries must provide any information relevant to evaluate the impact of the action, including information in electronic format.

26.2 Consequences of non-compliance

If a beneficiary breaches any of its obligations under this Article, the granting authority may apply the measures described in Chapter 5.

CHAPTER 5 CONSEQUENCES OF NON-COMPLIANCE

SECTION 1 REJECTIONS AND GRANT REDUCTION

ARTICLE 27 — REJECTION OF CONTRIBUTIONS

27.1 Conditions

The granting authority will — at interim payment, final payment or afterwards — reject any lump sum contributions which are ineligible (see Article 6), in particular following checks, reviews, audits or investigations (see Article 25).

The rejection may also be based on the extension of findings from other grants to this grant (see Article 25).

Ineligible lump sum contributions will be rejected.

27.2 Procedure

If the rejection does not lead to a recovery, the granting authority will formally notify the coordinator or beneficiary concerned of the rejection, the amounts and the reasons why. The coordinator or beneficiary concerned may — within 30 days of receiving notification — submit observations if it disagrees with the rejection (payment review procedure).

If the rejection leads to a recovery, the granting authority will follow the contradictory procedure with pre-information letter set out in Article 22.

27.3 Effects

If the granting authority rejects lump sum contributions, it will deduct them from the lump sum contributions declared and then calculate the amount due (and, if needed, make a recovery; see Article 22).

ARTICLE 28 — GRANT REDUCTION

28.1 Conditions

The granting authority may — at beneficiary termination, final payment or afterwards — reduce the grant for a beneficiary, if:

- (a) the beneficiary (or a person having powers of representation, decision-making or control, or person essential for the award/implementation of the grant) has committed:
 - (i) substantial errors, irregularities or fraud or
 - (ii) serious breach of obligations under this Agreement or during its award (including improper implementation of the action, non-compliance with the call conditions, submission of false information, failure to provide required information, breach of ethics or security rules (if applicable), etc.), or
- (b) the beneficiary (or a person having powers of representation, decision-making or control, or

person essential for the award/implementation of the grant) has committed — in other EU grants awarded to it under similar conditions — systemic or recurrent errors, irregularities, fraud or serious breach of obligations that have a material impact on this grant (extension of findings; see Article 25.5).

The amount of the reduction will be calculated for each beneficiary concerned and proportionate to the seriousness and the duration of the errors, irregularities or fraud or breach of obligations, by applying an individual reduction rate to their accepted EU contribution.

28.2 Procedure

If the grant reduction does not lead to a recovery, the granting authority will formally notify the coordinator or beneficiary concerned of the reduction, the amount to be reduced and the reasons why. The coordinator or beneficiary concerned may — within 30 days of receiving notification — submit observations if it disagrees with the reduction (payment review procedure).

If the grant reduction leads to a recovery, the granting authority will follow the contradictory procedure with pre-information letter set out in Article 22.

28.3 Effects

If the granting authority reduces the grant, it will deduct the reduction and then calculate the amount due (and, if needed, make a recovery; see Article 22).

SECTION 2 SUSPENSION AND TERMINATION

ARTICLE 29 — PAYMENT DEADLINE SUSPENSION

29.1 Conditions

The granting authority may — at any moment — suspend the payment deadline if a payment cannot be processed because:

- (a) the required report (see Article 21) has not been submitted or is not complete or additional information is needed
- (b) there are doubts about the amount to be paid (e.g. ongoing extension procedure, queries about eligibility, need for a grant reduction, etc.) and additional checks, reviews, audits or investigations are necessary, or
- (c) there are other issues affecting the EU financial interests.

29.2 Procedure

The granting authority will formally notify the coordinator of the suspension and the reasons why.

The suspension will **take effect** the day the notification is sent.

If the conditions for suspending the payment deadline are no longer met, the suspension will be **lifted** — and the remaining time to pay (see Data Sheet, Point 4.2) will resume.

If the suspension exceeds two months, the coordinator may request the granting authority to confirm if the suspension will continue.

If the payment deadline has been suspended due to the non-compliance of the report and the revised report is not submitted (or was submitted but is also rejected), the granting authority may also terminate the grant or the participation of the coordinator (see Article 32).

ARTICLE 30 — PAYMENT SUSPENSION

30.1 Conditions

The granting authority may — at any moment — suspend payments, in whole or in part for one or more beneficiaries, if:

- (a) a beneficiary (or a person having powers of representation, decision-making or control, or person essential for the award/implementation of the grant) has committed or is suspected of having committed:
 - (i) substantial errors, irregularities or fraud or
 - (ii) serious breach of obligations under this Agreement or during its award (including improper implementation of the action, non-compliance with the call conditions, submission of false information, failure to provide required information, breach of ethics or security rules (if applicable), etc.), or
- (b) a beneficiary (or a person having powers of representation, decision-making or control, or person essential for the award/implementation of the grant) has committed — in other EU grants awarded to it under similar conditions — systemic or recurrent errors, irregularities, fraud or serious breach of obligations that have a material impact on this grant (extension of findings; see Article 25.5).

If payments are suspended for one or more beneficiaries, the granting authority will make partial payment(s) for the part(s) not suspended. If suspension concerns the final payment, the payment (or recovery) of the remaining amount after suspension is lifted will be considered to be the payment that closes the action.

30.2 Procedure

Before suspending payments, the granting authority will send a **pre-information letter** to the beneficiary concerned:

- formally notifying the intention to suspend payments and the reasons why and
- requesting observations within 30 days of receiving notification.

If the granting authority does not receive observations or decides to pursue the procedure despite the observations it has received, it will confirm the suspension (**confirmation letter**). Otherwise, it will formally notify that the procedure is discontinued.

At the end of the suspension procedure, the granting authority will also inform the coordinator.

The suspension will **take effect** the day after the confirmation notification is sent.

If the conditions for resuming payments are met, the suspension will be **lifted**. The granting authority will formally notify the beneficiary concerned (and the coordinator) and set the suspension end date.

During the suspension, no prefinancing will be paid to the beneficiaries concerned. For interim payments, the periodic reports for all reporting periods except the last one (see Article 21) must not contain any financial statements from the beneficiary concerned (or its affiliated entities). The coordinator must include them in the next periodic report after the suspension is lifted or — if suspension is not lifted before the end of the action — in the last periodic report.

ARTICLE 31 — GRANT AGREEMENT SUSPENSION

31.1 Consortium-requested GA suspension

31.1.1 Conditions and procedure

The beneficiaries may request the suspension of the grant or any part of it, if exceptional circumstances — in particular *force majeure* (see Article 35) — make implementation impossible or excessively difficult.

The coordinator must submit a request for **amendment** (see Article 39), with:

- the reasons why
- the date the suspension takes effect; this date may be before the date of the submission of the amendment request and
- the expected date of resumption.

The suspension will **take effect** on the day specified in the amendment.

Once circumstances allow for implementation to resume, the coordinator must immediately request another **amendment** of the Agreement to set the suspension end date, the resumption date (one day after suspension end date), extend the duration and make other changes necessary to adapt the action to the new situation (see Article 39) — unless the grant has been terminated (see Article 32). The suspension will be **lifted** with effect from the suspension end date set out in the amendment. This date may be before the date of the submission of the amendment request.

During the suspension, no prefinancing will be paid. Moreover, no work may be done. Ongoing work packages must be interrupted and no new work packages may be started.

31.2 EU-initiated GA suspension

31.2.1 Conditions

The granting authority may suspend the grant or any part of it, if:

- (a) a beneficiary (or a person having powers of representation, decision-making or control, or person essential for the award/implementation of the grant) has committed or is suspected of having committed:
 - (i) substantial errors, irregularities or fraud or



- (ii) serious breach of obligations under this Agreement or during its award (including improper implementation of the action, non-compliance with the call conditions, submission of false information, failure to provide required information, breach of ethics or security rules (if applicable), etc.), or
- (b) a beneficiary (or a person having powers of representation, decision-making or control, or person essential for the award/implementation of the grant) has committed — in other EU grants awarded to it under similar conditions — systemic or recurrent errors, irregularities, fraud or serious breach of obligations that have a material impact on this grant (extension of findings; see Article 25.5)
- (c) other:
 - (i) linked action issues: not applicable
 - (ii) additional GA suspension grounds: not applicable.

31.2.2 Procedure

Before suspending the grant, the granting authority will send a **pre-information letter** to the coordinator:

- formally notifying the intention to suspend the grant and the reasons why and
- requesting observations within 30 days of receiving notification.

If the granting authority does not receive observations or decides to pursue the procedure despite the observations it has received, it will confirm the suspension (**confirmation letter**). Otherwise, it will formally notify that the procedure is discontinued.

The suspension will **take effect** the day after the confirmation notification is sent (or on a later date specified in the notification).

Once the conditions for resuming implementation of the action are met, the granting authority will formally notify the coordinator a **lifting of suspension letter**, in which it will set the suspension end date and invite the coordinator to request an amendment of the Agreement to set the resumption date (one day after suspension end date), extend the duration and make other changes necessary to adapt the action to the new situation (see Article 39) — unless the grant has been terminated (see Article 32). The suspension will be **lifted** with effect from the suspension end date set out in the lifting of suspension letter. This date may be before the date on which the letter is sent.

During the suspension, no prefinancing will be paid. Moreover, no work may be done. Ongoing work packages must be interrupted and no new work packages may be started.

The beneficiaries may not claim damages due to suspension by the granting authority (see Article 33).

Grant suspension does not affect the granting authority's right to terminate the grant or a beneficiary (see Article 32) or reduce the grant (see Article 28).

ARTICLE 32 — GRANT AGREEMENT OR BENEFICIARY TERMINATION

32.1 Consortium-requested GA termination

32.1.1 Conditions and procedure

The beneficiaries may request the termination of the grant.

The coordinator must submit a request for **amendment** (see Article 39), with:

- the reasons why
- the date the consortium ends work on the action ('end of work date') and
- the date the termination takes effect ('termination date'); this date must be after the date of the submission of the amendment request.

The termination will **take effect** on the termination date specified in the amendment.

If no reasons are given or if the granting authority considers the reasons do not justify termination, it may consider the grant terminated improperly.

32.1.2 Effects

The coordinator must — within 60 days from when termination takes effect — submit a **periodic report** (for the open reporting period until termination).

The granting authority will calculate the final grant amount and final payment on the basis of the report submitted and taking into account the lump sum contributions for activities implemented before the end of work date (see Article 22). Partial lump sum contributions for work packages that were not completed (e.g. due to technical reasons) may exceptionally be taken into account.

If the granting authority does not receive the report within the deadline, only lump sum contributions which are included in an approved periodic report will be taken into account (no contributions if no periodic report was ever approved).

Improper termination may lead to a grant reduction (see Article 28).

After termination, the beneficiaries' obligations (in particular Articles 13 (confidentiality and security), 16 (IPR), 17 (communication, dissemination and visibility), 21 (reporting), 25 (checks, reviews, audits and investigations), 26 (impact evaluation), 27 (rejections), 28 (grant reduction) and 42 (assignment of claims)) continue to apply.

32.2 Consortium-requested beneficiary termination

32.2.1 Conditions and procedure

The coordinator may request the termination of the participation of one or more beneficiaries, on request of the beneficiary concerned or on behalf of the other beneficiaries.

The coordinator must submit a request for **amendment** (see Article 39), with:

- the reasons why

- the opinion of the beneficiary concerned (or proof that this opinion has been requested in writing)
- the date the beneficiary ends work on the action ('end of work date')
- the date the termination takes effect ('termination date'); this date must be after the date of the submission of the amendment request.

If the termination concerns the coordinator and is done without its agreement, the amendment request must be submitted by another beneficiary (acting on behalf of the consortium).

The termination will **take effect** on the termination date specified in the amendment.

If no information is given or if the granting authority considers that the reasons do not justify termination, it may consider the beneficiary to have been terminated improperly.

32.2.2 Effects

The coordinator must — within 60 days from when termination takes effect — submit:

- (i) a **report on the distribution of payments** to the beneficiary concerned
- (ii) a **termination report** from the beneficiary concerned, for the open reporting period until termination, containing an overview of the progress of the work
- (iii) a second **request for amendment** (see Article 39) with other amendments needed (e.g. reallocation of the tasks and the estimated budget of the terminated beneficiary; addition of a new beneficiary to replace the terminated beneficiary; change of coordinator, etc.).

The granting authority will calculate the amount due to the beneficiary on the basis of the reports submitted in previous interim payments (i.e. beneficiary's lump sum contributions for completed and approved work packages).

Lump sum contributions for ongoing/not yet completed work packages will have to be included in the periodic report for the next reporting periods when those work packages have been completed.

If the granting authority does not receive the report on the distribution of payments within the deadline, it will consider that:

- the coordinator did not distribute any payment to the beneficiary concerned and that
- the beneficiary concerned must not repay any amount to the coordinator.

If the second request for amendment is accepted by the granting authority, the Agreement is **amended** to introduce the necessary changes (see Article 39).

If the second request for amendment is rejected by the granting authority (because it calls into question the decision awarding the grant or breaches the principle of equal treatment of applicants), the grant may be terminated (see Article 32).

Improper termination may lead to a reduction of the grant (see Article 31) or grant termination (see Article 32).

After termination, the concerned beneficiary's obligations (in particular Articles 13 (confidentiality and security), 16 (IPR), 17 (communication, dissemination and visibility), 21 (reporting), 25 (checks, reviews, audits and investigations), 26 (impact evaluation), 27 (rejections), 28 (grant reduction) and 42 (assignment of claims)) continue to apply.

32.3 EU-initiated GA or beneficiary termination

32.3.1 Conditions

The granting authority may terminate the grant or the participation of one or more beneficiaries, if:

- (a) one or more beneficiaries do not accede to the Agreement (see Article 40)
- (b) a change to the action or the legal, financial, technical, organisational or ownership situation of a beneficiary is likely to substantially affect the implementation of the action or calls into question the decision to award the grant (including changes linked to one of the exclusion grounds listed in the declaration of honour)
- (c) following termination of one or more beneficiaries, the necessary changes to the Agreement (and their impact on the action) would call into question the decision awarding the grant or breach the principle of equal treatment of applicants
- (d) implementation of the action has become impossible or the changes necessary for its continuation would call into question the decision awarding the grant or breach the principle of equal treatment of applicants
- (e) a beneficiary (or person with unlimited liability for its debts) is subject to bankruptcy proceedings or similar (including insolvency, winding-up, administration by a liquidator or court, arrangement with creditors, suspension of business activities, etc.)
- (f) a beneficiary (or person with unlimited liability for its debts) is in breach of social security or tax obligations
- (g) a beneficiary (or person having powers of representation, decision-making or control, or person essential for the award/implementation of the grant) has been found guilty of grave professional misconduct
- (h) a beneficiary (or person having powers of representation, decision-making or control, or person essential for the award/implementation of the grant) has committed fraud, corruption, or is involved in a criminal organisation, money laundering, terrorism-related crimes (including terrorism financing), child labour or human trafficking
- (i) a beneficiary (or person having powers of representation, decision-making or control, or person essential for the award/implementation of the grant) was created under a different jurisdiction with the intent to circumvent fiscal, social or other legal obligations in the country of origin (or created another entity with this purpose)
- (j) a beneficiary (or person having powers of representation, decision-making or control, or person essential for the award/implementation of the grant) has committed:
 - (i) substantial errors, irregularities or fraud or

- (ii) serious breach of obligations under this Agreement or during its award (including improper implementation of the action, non-compliance with the call conditions, submission of false information, failure to provide required information, breach of ethics or security rules (if applicable), etc.)
- (k) a beneficiary (or person having powers of representation, decision-making or control, or person essential for the award/implementation of the grant) has committed — in other EU grants awarded to it under similar conditions — systemic or recurrent errors, irregularities, fraud or serious breach of obligations that have a material impact on this grant (extension of findings; see Article 25.5)
- (l) despite a specific request by the granting authority, a beneficiary does not request — through the coordinator — an amendment to the Agreement to end the participation of one of its affiliated entities or associated partners that is in one of the situations under points (d), (f), (e), (g), (h), (i) or (j) and to reallocate its tasks, or
- (m) other:
 - (i) linked action issues: not applicable
 - (ii) additional GA termination grounds: not applicable.

32.3.2 Procedure

Before terminating the grant or participation of one or more beneficiaries, the granting authority will send a **pre-information letter** to the coordinator or beneficiary concerned:

- formally notifying the intention to terminate and the reasons why and
- requesting observations within 30 days of receiving notification.

If the granting authority does not receive observations or decides to pursue the procedure despite the observations it has received, it will confirm the termination and the date it will take effect (**confirmation letter**). Otherwise, it will formally notify that the procedure is discontinued.

For beneficiary terminations, the granting authority will — at the end of the procedure — also inform the coordinator.

The termination will **take effect** the day after the confirmation notification is sent (or on a later date specified in the notification; ‘termination date’).

32.3.3 Effects

(a) for GA termination:

The coordinator must — within 60 days from when termination takes effect — submit a **periodic report** (for the last open reporting period until termination).

The granting authority will calculate the final grant amount and final payment on the basis of the report submitted and taking into account the lump sum contributions for activities implemented before termination takes effect (see Article 22). Partial lump sum contributions



for work packages that were not completed (e.g. due to technical reasons) may exceptionally be taken into account.

If the grant is terminated for breach of the obligation to submit reports, the coordinator may not submit any report after termination.

If the granting authority does not receive the report within the deadline, only lump sum contributions which are included in an approved periodic report will be taken into account (no contributions if no periodic report was ever approved).

Termination does not affect the granting authority's right to reduce the grant (see Article 28) or to impose administrative sanctions (see Article 34).

The beneficiaries may not claim damages due to termination by the granting authority (see Article 33).

After termination, the beneficiaries' obligations (in particular Articles 13 (confidentiality and security), 16 (IPR), 17 (communication, dissemination and visibility), 21 (reporting), 25 (checks, reviews, audits and investigations), 26 (impact evaluation), 27 (rejections), 28 (grant reduction) and 42 (assignment of claims)) continue to apply.

(b) for beneficiary termination:

The coordinator must — within 60 days from when termination takes effect — submit:

- (i) a **report on the distribution of payments** to the beneficiary concerned
- (ii) a **termination report** from the beneficiary concerned, for the open reporting period until termination, containing an overview of the progress of the work
- (iii) a **request for amendment** (see Article 39) with any amendments needed (e.g. reallocation of the tasks and the estimated budget of the terminated beneficiary; addition of a new beneficiary to replace the terminated beneficiary; change of coordinator, etc.).

The granting authority will calculate the amount due to the beneficiary on the basis of the reports submitted in previous interim payments (i.e. beneficiary's lump sum contributions for completed and approved work packages).

Lump sum contributions for ongoing/not yet completed work packages will have to be included in the periodic report for the next reporting periods when those work packages have been completed.

If the granting authority does not receive the report on the distribution of payments within the deadline, it will consider that:

- the coordinator did not distribute any payment to the beneficiary concerned and that
- the beneficiary concerned must not repay any amount to the coordinator.

If the request for amendment is accepted by the granting authority, the Agreement is **amended** to introduce the necessary changes (see Article 39).

If the request for amendment is rejected by the granting authority (because it calls into question the decision awarding the grant or breaches the principle of equal treatment of applicants), the grant may be terminated (see Article 32).

After termination, the concerned beneficiary's obligations (in particular Articles 13 (confidentiality and security), 16 (IPR), 17 (communication, dissemination and visibility), 21 (reporting), 25 (checks, reviews, audits and investigations), 26 (impact evaluation), 27 (rejections), 28 (grant reduction) and 42 (assignment of claims)) continue to apply.

SECTION 3 OTHER CONSEQUENCES: DAMAGES AND ADMINISTRATIVE SANCTIONS

ARTICLE 33 — DAMAGES

33.1 Liability of the granting authority

The granting authority cannot be held liable for any damage caused to the beneficiaries or to third parties as a consequence of the implementation of the Agreement, including for gross negligence.

The granting authority cannot be held liable for any damage caused by any of the beneficiaries or other participants involved in the action, as a consequence of the implementation of the Agreement.

33.2 Liability of the beneficiaries

The beneficiaries must compensate the granting authority for any damage it sustains as a result of the implementation of the action or because the action was not implemented in full compliance with the Agreement, provided that it was caused by gross negligence or wilful act.

The liability does not extend to indirect or consequential losses or similar damage (such as loss of profit, loss of revenue or loss of contracts), provided such damage was not caused by wilful act or by a breach of confidentiality.

ARTICLE 34 — ADMINISTRATIVE SANCTIONS AND OTHER MEASURES

Nothing in this Agreement may be construed as preventing the adoption of administrative sanctions (i.e. exclusion from EU award procedures and/or financial penalties) or other public law measures, in addition or as an alternative to the contractual measures provided under this Agreement (see, for instance, Articles 135 to 145 EU Financial Regulation 2018/1046 and Articles 4 and 7 of Regulation 2988/95¹⁸).

SECTION 4 FORCE MAJEURE

ARTICLE 35 — FORCE MAJEURE

¹⁸ Council Regulation (EC, Euratom) No 2988/95 of 18 December 1995 on the protection of the European Communities financial interests (OJ L 312, 23.12.1995, p. 1).

A party prevented by force majeure from fulfilling its obligations under the Agreement cannot be considered in breach of them.

‘Force majeure’ means any situation or event that:

- prevents either party from fulfilling their obligations under the Agreement,
- was unforeseeable, exceptional situation and beyond the parties’ control,
- was not due to error or negligence on their part (or on the part of other participants involved in the action), and
- proves to be inevitable in spite of exercising all due diligence.

Any situation constituting force majeure must be formally notified to the other party without delay, stating the nature, likely duration and foreseeable effects.

The parties must immediately take all the necessary steps to limit any damage due to force majeure and do their best to resume implementation of the action as soon as possible.

CHAPTER 6 FINAL PROVISIONS

ARTICLE 36 — COMMUNICATION BETWEEN THE PARTIES

36.1 Forms and means of communication — Electronic management

EU grants are managed fully electronically through the EU Funding & Tenders Portal (‘Portal’).

All communications must be made electronically through the Portal in accordance with the Portal Terms and Conditions and using the forms and templates provided there (except if explicitly instructed otherwise by the granting authority).

Communications must be made in writing and clearly identify the grant agreement (project number and acronym).

Communications must be made by persons authorised according to the Portal Terms and Conditions. For naming the authorised persons, each beneficiary must have designated — before the signature of this Agreement — a ‘legal entity appointed representative (LEAR)’. The role and tasks of the LEAR are stipulated in their appointment letter (see Portal Terms and Conditions).

If the electronic exchange system is temporarily unavailable, instructions will be given on the Portal.

36.2 Date of communication

The sending date for communications made through the Portal will be the date and time of sending, as indicated by the time logs.

The receiving date for communications made through the Portal will be the date and time the communication is accessed, as indicated by the time logs. Formal notifications that have not been accessed within 10 days after sending, will be considered to have been accessed (see Portal Terms and Conditions).

If a communication is exceptionally made on paper (by e-mail or postal service), general principles apply (i.e. date of sending/receipt). Formal notifications by registered post with proof of delivery will be considered to have been received either on the delivery date registered by the postal service or the deadline for collection at the post office.

If the electronic exchange system is temporarily unavailable, the sending party cannot be considered in breach of its obligation to send a communication within a specified deadline.

36.3 Addresses for communication

The Portal can be accessed via the Europa website.

The address for paper communications to the granting authority (if exceptionally allowed) is the official mailing address indicated on its website.

For beneficiaries, it is the legal address specified in the Portal Participant Register.

ARTICLE 37 — INTERPRETATION OF THE AGREEMENT

The provisions in the Data Sheet take precedence over the rest of the Terms and Conditions of the Agreement.

Annex 5 takes precedence over the Terms and Conditions.

The Terms and Conditions take precedence over the Annexes other than Annex 5.

Annex 2 takes precedence over Annex 1.

ARTICLE 38 — CALCULATION OF PERIODS AND DEADLINES

In accordance with Regulation No 1182/71¹⁹, periods expressed in days, months or years are calculated from the moment the triggering event occurs.

The day during which that event occurs is not considered as falling within the period.

‘Days’ means calendar days, not working days.

ARTICLE 39 — AMENDMENTS

39.1 Conditions

The Agreement may be amended, unless the amendment entails changes to the Agreement which would call into question the decision awarding the grant or breach the principle of equal treatment of applicants.

Amendments may be requested by any of the parties.

39.2 Procedure

¹⁹ Regulation (EEC, Euratom) No 1182/71 of the Council of 3 June 1971 determining the rules applicable to periods, dates and time-limits (OJ L 124, 8/6/1971, p. 1).

The party requesting an amendment must submit a request for amendment signed directly in the Portal Amendment tool.

The coordinator submits and receives requests for amendment on behalf of the beneficiaries (see Annex 3). If a change of coordinator is requested without its agreement, the submission must be done by another beneficiary (acting on behalf of the other beneficiaries).

The request for amendment must include:

- the reasons why
- the appropriate supporting documents and
- for a change of coordinator without its agreement: the opinion of the coordinator (or proof that this opinion has been requested in writing).

The granting authority may request additional information.

If the party receiving the request agrees, it must sign the amendment in the tool within 45 days of receiving notification (or any additional information the granting authority has requested). If it does not agree, it must formally notify its disagreement within the same deadline. The deadline may be extended, if necessary for the assessment of the request. If no notification is received within the deadline, the request is considered to have been rejected.

An amendment **enters into force** on the day of the signature of the receiving party.

An amendment **takes effect** on the date of entry into force or other date specified in the amendment.

ARTICLE 40 — ACCESSION AND ADDITION OF NEW BENEFICIARIES

40.1 Accession of the beneficiaries mentioned in the Preamble

The beneficiaries which are not coordinator must accede to the grant by signing the accession form (see Annex 3) directly in the Portal Grant Preparation tool, within 30 days after the entry into force of the Agreement (see Article 44).

They will assume the rights and obligations under the Agreement with effect from the date of its entry into force (see Article 44).

If a beneficiary does not accede to the grant within the above deadline, the coordinator must — within 30 days — request an amendment (see Article 39) to terminate the beneficiary and make any changes necessary to ensure proper implementation of the action. This does not affect the granting authority's right to terminate the grant (see Article 32).

40.2 Addition of new beneficiaries

In justified cases, the beneficiaries may request the addition of a new beneficiary.

For this purpose, the coordinator must submit a request for amendment in accordance with Article 39. It must include an accession form (see Annex 3) signed by the new beneficiary directly in the Portal Amendment tool.

New beneficiaries will assume the rights and obligations under the Agreement with effect from the date of their accession specified in the accession form (see Annex 3).

Additions are also possible in mono-beneficiary grants.

ARTICLE 41 — TRANSFER OF THE AGREEMENT

In justified cases, the beneficiary of a mono-beneficiary grant may request the transfer of the grant to a new beneficiary, provided that this would not call into question the decision awarding the grant or breach the principle of equal treatment of applicants.

The beneficiary must submit a request for **amendment** (see Article 39), with

- the reasons why
- the accession form (see Annex 3) signed by the new beneficiary directly in the Portal Amendment tool and
- additional supporting documents (if required by the granting authority).

The new beneficiary will assume the rights and obligations under the Agreement with effect from the date of accession specified in the accession form (see Annex 3).

ARTICLE 42 — ASSIGNMENTS OF CLAIMS FOR PAYMENT AGAINST THE GRANTING AUTHORITY

The beneficiaries may not assign any of their claims for payment against the granting authority to any third party, except if expressly approved in writing by the granting authority on the basis of a reasoned, written request by the coordinator (on behalf of the beneficiary concerned).

If the granting authority has not accepted the assignment or if the terms of it are not observed, the assignment will have no effect on it.

In no circumstances will an assignment release the beneficiaries from their obligations towards the granting authority.

ARTICLE 43 — APPLICABLE LAW AND SETTLEMENT OF DISPUTES

43.1 Applicable law

The Agreement is governed by the applicable EU law, supplemented if necessary by the law of Belgium.

Special rules may apply for beneficiaries which are international organisations (if any; see Data Sheet, Point 5).

43.2 Dispute settlement

If a dispute concerns the interpretation, application or validity of the Agreement, the parties must bring action before the EU General Court — or, on appeal, the EU Court of Justice — under Article 272 of the Treaty on the Functioning of the EU (TFEU).

For non-EU beneficiaries (if any), such disputes must be brought before the courts of Brussels, Belgium — unless an international agreement provides for the enforceability of EU court judgements.

For beneficiaries with arbitration as special dispute settlement forum (if any; see Data Sheet, Point 5), the dispute will — in the absence of an amicable settlement — be settled in accordance with the Rules for Arbitration published on the Portal.

If a dispute concerns administrative sanctions, offsetting or an enforceable decision under Article 299 TFEU (see Articles 22 and 34), the beneficiaries must bring action before the General Court — or, on appeal, the Court of Justice — under Article 263 TFEU.

For grants where the granting authority is an EU executive agency (see Preamble), actions against offsetting and enforceable decisions must be brought against the European Commission (not against the granting authority; see also Article 22).

ARTICLE 44 — ENTRY INTO FORCE

The Agreement will enter into force on the day of signature by the granting authority or the coordinator, depending on which is later.

SIGNATURES

For the coordinator

For the granting authority



ANNEX 1



Justice Programme (JUST)

Description of the action (DoA)

Part A

Part B

DESCRIPTION OF THE ACTION (PART A)

COVER PAGE

Part A of the Description of the Action (DoA) must be completed directly on the Portal Grant Preparation screens.

PROJECT	
Grant Preparation (General Information screen) — Enter the info.	
Project number:	101160567
Project name:	DIGITISING JUDICIAL COOPERATION IN CRIMINAL MATTERS WITH EU PARTNERS
Project acronym:	e-CODEX - e-EDES
Call:	JUST-2023-JACC-EJUSTICE
Topic:	JUST-2023-JACC-EJUSTICE
Type of action:	JUST-LS
Service:	JUST/H/03
Project starting date:	first day of the month following the entry into force date
Project duration:	24 months

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List of milestones (outputs/outcomes) 15

List of critical risks 16

PROJECT SUMMARY

Project summary

Grant Preparation (General Information screen) — Provide an overall description of your project (including context and overall objectives, planned activities and main achievements, and expected results and impacts (on target groups, change procedures, capacities, innovation etc)). This summary should give readers a clear idea of what your project is about.

Use the project summary from your proposal.

The goal of this project is to implement and configure the e-CODEX exchange platform as a communication base for the e-Evidence Digital Exchange System for General Prosecutor's Office of the Slovak Republic, to integrate it into national's system and analyse the possibility of extension reference implementation to the new national's system in Czech Republic. The key objective under the e-Justice priority is to contribute to achieving the goals of the Commission Communication on the Digitalisation of Justice in the EU and the Council European e-Justice Strategy and Action Plan 2019-2023 by supporting the implementation of e-Justice projects at the European and national level. This project will fulfil these goals.

In line with the EU security agenda, and in order to effectively combat crime, there is a need to improve the possibilities for judicial authorities in different Member States to exchange electronic evidence between them.

Unlike in some other countries, the establishment of the General Prosecutor's Office in Slovakia is fully independent and separate from the Ministry of Justice. Therefore, the development of electronic services is on a separate track. Slovak general prosecutor's office will connect to the e-CODEX and develop its implementation into national's system with help of the Czech Republic. Ministry of Justice of the Czech republic will use the knowledge from Slovak national's system implementation and transfer the knowledge into the future national's system development.

LIST OF PARTICIPANTS

PARTICIPANTS

Grant Preparation (Beneficiaries screen) — Enter the info.

Number	Role	Short name	Legal name	Country	PIC
1	COO	GPSR	GENERALNA PROKURATURA SR	SK	890982249
2	BEN	MJCR	MINISTERSTVO SPRAVEDLNOSTI	CZ	974996471

LIST OF WORK PACKAGES

Work packages						
Grant Preparation (Work Packages screen) — Enter the info.						
Work Package No	Work Package name	Lead Beneficiary	Effort (Person-Months)	Start Month	End Month	Deliverables
WP1	Project management and coordination	1 - GPSR	28.00	1	24	D1.1 – Project initiation document D1.2 – Initiation phase Deliverables Quality Audit D1.3 – Evaluating report, Learning, Maintenance and Recommendations D1.4 – Implementation phase deliverables Quality Audit D1.5 – Progress Report
WP2	IT Delivery: Analysis, Design, Development	1 - GPSR	6.00	1	24	D2.1 – Detailed Solution Proposal - An IT Project standard document D2.2 – QA Plan D2.3 – Software Code D2.4 – Software Testing Report
WP3	Testing the solution between partner organization and beneficiary	2 - MJCR	3.00	16	24	D3.1 – Test Cases - Testing the solution between partner organization and beneficiary D3.2 – Software Knowledgebase and User Manual D3.3 – User Acceptance Test Report
WP4	Rollout, Training, Communication & Promotion	1 - GPSR	2.00	1	24	D4.1 – Deployment Report D4.2 – Promotions D4.3 – Key Users Training

Work package WP1 – Project management and coordination

Work Package Number	WP1	Lead Beneficiary	1 - GPSR
Work Package Name	Project management and coordination		
Start Month	1	End Month	24

Objectives

Compliance with the principle of economy and efficiency
 Project management and coordination in line with standards, as outlined in 401/2023 Coll.
 Financial management, financial reporting in line with Grant Agreement.
 Public procurement steps and activities.
 Project concluding activities, lessons learned recommendations.

Description

To ensure effective communication during whole project's lifecycle custom project implementation structure will be used. From the very beginning of the project the Project manager will finalize the composition of the Steering Committee and invite representatives. The members of the Steering Committee will be constituted from both sides of the beneficiaries. Project steering committee will be established to lead and to guarantee objectives of the project and will meet every three months. Project initiation document will define project roles communication's matrix. Project Steering committee will authorize relevant team leaders to lead work packages. Project Manager will report to Steering committee on monthly basis, standard project management methodology will be used to deliver this project's objective. Project management provides a method for managing projects within a clearly defined framework to ensure increased quality of the finished products, efficient control of resources, avoidance of either "heroic" (underregulated) or "mechanistic" (over-regulated) working, and increased confidence among the project team. For software development approach waterfall model will be used.

Work package WP2 – IT Delivery: Analysis, Design, Development

Work Package Number	WP2	Lead Beneficiary	1 - GPSR
Work Package Name	IT Delivery: Analysis, Design, Development		
Start Month	1	End Month	24

Objectives

Identify legal, technical and organizational obstacles to consider a feasibility and appropriate necessary actions.
 Install and configure e-CODEX exchange platform as communication base for e-Evidence system.
 Install and configure reference implementation of e-Evidence portal.
 Integrate Slovak general prosecutor's office national information system IS PTCA to e Codex connector.
 Implement new functionality in IS PTCA for agreed Use Case "EVP" in GPO SK testing environment.
 Upgrade IS PTCA (Slovak national's system) operating system infrastructure component to meet required IT security and performance parameters.

Description

Analysis of new functionalities, Analysis of use cases, Impact analysis on existing use cases in national GP SR information system: IS PTCA, User roles analysis for their introduction to reference implementation of e Evidence portal (e EDES RI roles, etc.) Incorporation of modules/software components to existing National Information system of General prosecutor's office of the Slovak Republic (IS GP SR) architecture, design of changes in existing IS GP SR architecture, proposal for changes of existing and design of new integration interfaces within IS GP SR, design of external integration interfaces pointing to external information systems outside of IS GP SR serving as integrations/connections to external subjects through e-Codex exchange platform, design of infrastructure scale and IT security policies design for affected IS GP SR modules. Implementation of changes in integration module of IS GP SR i. e. Enterprise Service Bus (ESB) module: integration interfaces and integration adapters to integrate/connect IS GP SR infrastructure to e-

Codex infrastructure (e.g. Connector, Gateway, e-Signer, etc.) Implementation of changes in application module of IS GP SR i.e. Prosecutor's Criminal and Civil Agenda (IS PTCA) module requested by use cases identified in Analysis phase, implementation of new interconnections of IS PTCA to other internal modules of IS GP SR, e.g. integration adapters in ESB module.

Work package WP3 – Testing the solution between partner organization and beneficiary

Work Package Number	WP3	Lead Beneficiary	2 - MJCR
Work Package Name	Testing the solution between partner organization and beneficiary		
Start Month	16	End Month	24

Objectives

Test e-CODEX infrastructure connection.
 Test e-EVIDENCE use case end to end functionality between GP SR and MS CR.
 Verify QA interconnection requirements.

Description

Testing of integration adapters on the IS GP SR integration platform level, i.e. ESB, Testing of functionality of application changes in IS PTCA, IT security requirements fulfillment testing. Creation Test cases based on Use cases and their verification in accordance with expected and agreed business functionality between GP SR and NSZ CR, Execution of integration and end to end tests based on mutually agreed Test cases between GP SR and NSZ CR by subcontractor. Execution of User acceptance tests based on mutually agreed Test cases by end users from GP SR and NSZ CR.

Work package WP4 – Rollout, Training, Communication & Promotion

Work Package Number	WP4	Lead Beneficiary	1 - GPSR
Work Package Name	Rollout, Training, Communication & Promotion		
Start Month	1	End Month	24

Objectives

Key Users trained and ready to use implemented solution.
 All national information systems and international software components ready to use in production environment.
 Visibility of the project implementation and results, and publicity of the EU contribution grant ensured.

Description

Training of key user groups at the GP SR. Installation and configuration of software components in GP SR production environment. Implementation of the communication plan, ensuring visibility of the project results and the publicity of the EU cooperation grant.

STAFF EFFORT

Staff effort per participant					
Grant Preparation (Work packages - Effort screen) — Enter the info.					
Participant	WP1	WP2	WP3	WP4	Total Person-Months
1 - GPSR	27.00	1.00	1.00	1.00	30.00
2 - MJCR	1.00	5.00	2.00	1.00	9.00
Total Person-Months	28.00	6.00	3.00	2.00	39.00

LIST OF DELIVERABLES

Deliverables <i>Grant Preparation (Deliverables screen) — Enter the info.</i> <i>The labels used mean:</i> <i>Public — fully open (⚠ automatically posted online)</i> <i>Sensitive — limited under the conditions of the Grant Agreement</i> <i>EU classified —RESTREINT-UE/EU-RESTRICTED, CONFIDENTIEL-UE/EU-CONFIDENTIAL, SECRET-UE/EU-SECRET under Decision 2015/444</i>						
Deliverable No	Deliverable Name	Work Package No	Lead Beneficiary	Type	Dissemination Level	Due Date (month)
D1.1	Project initiation document	WP1	1 - GPSR	R — Document, report	SEN - Sensitive	7
D1.2	Initiation phase Deliverables Quality Audit	WP1	1 - GPSR	R — Document, report	SEN - Sensitive	7
D1.3	Evaluating report, Learning, Maintenance and Recommendations	WP1	1 - GPSR	R — Document, report	SEN - Sensitive	24
D1.4	Implementation phase deliverables Quality Audit	WP1	1 - GPSR	R — Document, report	SEN - Sensitive	24
D1.5	Progress Report	WP1	1 - GPSR	R — Document, report	SEN - Sensitive	14
D2.1	Detailed Solution Proposal - An IT Project standard document	WP2	1 - GPSR	R — Document, report	SEN - Sensitive	11
D2.2	QA Plan	WP2	1 - GPSR	R — Document, report	SEN - Sensitive	7
D2.3	Software Code	WP2	1 - GPSR	OTHER	SEN - Sensitive	7
D2.4	Software Testing Report	WP2	1 - GPSR	R — Document, report	SEN - Sensitive	18
D3.1	Test Cases - Testing the solution between partner organization and beneficiary	WP3	2 - MJCR	R — Document, report	SEN - Sensitive	16
D3.2	Software Knowledgebase and User Manual	WP3	1 - GPSR	R — Document, report	SEN - Sensitive	21
D3.3	User Acceptance Test Report	WP3	1 - GPSR	R — Document, report	SEN - Sensitive	21

Deliverables

Grant Preparation (Deliverables screen) — Enter the info.

The labels used mean:

Public — fully open (⚠ automatically posted online)

Sensitive — limited under the conditions of the Grant Agreement

EU classified — RESTREINT-UE/EU-RESTRICTED, CONFIDENTIEL-UE/EU-CONFIDENTIAL, SECRET-UE/EU-SECRET under Decision [2015/444](#)

Deliverable No	Deliverable Name	Work Package No	Lead Beneficiary	Type	Dissemination Level	Due Date (month)
D4.1	Deployment Report	WP4	1 - GPSR	R — Document, report	SEN - Sensitive	23
D4.2	Promotions	WP4	1 - GPSR	R — Document, report	PU - Public	24
D4.3	Key Users Training	WP4	1 - GPSR	R — Document, report	SEN - Sensitive	23

Deliverable D1.1 – Project initiation document

Deliverable Number	D1.1	Lead Beneficiary	1 - GPSR
Deliverable Name	Project initiation document		
Type	R — Document, report	Dissemination Level	SEN - Sensitive
Due Date (month)	7	Work Package No	WP1

Description
An IT Project standard document approx. 7 pages. Electronic format, Slovak language.

Deliverable D1.2 – Initiation phase Deliverables Quality Audit

Deliverable Number	D1.2	Lead Beneficiary	1 - GPSR
Deliverable Name	Initiation phase Deliverables Quality Audit		
Type	R — Document, report	Dissemination Level	SEN - Sensitive
Due Date (month)	7	Work Package No	WP1

Description
<p>Audit document of the supervising public organ, approx. 1 page. Electronic format, Slovak language.</p> <p>With its content, Quality audit (QA) specializes mainly in:</p> <p>Quality control from requirements to outputs (completeness, processes, KPI, EUPL licenses, deliverables, ...)</p> <p>Control of compliance with project management rules (time, resources, scope, ...)</p> <p>Checking the compliance of project outputs with the assignment - from the creation of requirements to their implementation</p>

Deliverable D1.3 – Evaluating report, Learning, Maintenance and Recommendations

Deliverable Number	D1.3	Lead Beneficiary	1 - GPSR
Deliverable Name	Evaluating report, Learning, Maintenance and Recommendations		
Type	R — Document, report	Dissemination Level	SEN - Sensitive
Due Date (month)	24	Work Package No	WP1

Description
A project quality management document per best practice stipulated in Decree 401/2023 Coll. Approx. 2 pages. Electronic format: Slovak language.

Deliverable D1.4 – Implementation phase deliverables Quality Audit

Deliverable Number	D1.4	Lead Beneficiary	1 - GPSR
Deliverable Name	Implementation phase deliverables Quality Audit		
Type	R — Document, report	Dissemination Level	SEN - Sensitive
Due Date (month)	24	Work Package No	WP1

Description			
Audit document of the supervising public organ, approx. 1 page. Electronic format, Slovak language.			

Deliverable D1.5 – Progress Report

Deliverable Number	D1.5	Lead Beneficiary	1 - GPSR
Deliverable Name	Progress Report		
Type	R — Document, report	Dissemination Level	SEN - Sensitive
Due Date (month)	14	Work Package No	WP1

Description			
Progress report 13M EC template			

Deliverable D2.1 – Detailed Solution Proposal - An IT Project standard document

Deliverable Number	D2.1	Lead Beneficiary	1 - GPSR
Deliverable Name	Detailed Solution Proposal - An IT Project standard document		
Type	R — Document, report	Dissemination Level	SEN - Sensitive
Due Date (month)	11	Work Package No	WP2

Description			
<p>An IT Project standard document approx. 50 pages. Electronic format, Slovak language.</p> <p>Identify legal, technical and organizational obstacles to consider a feasibility and appropriate necessary actions.</p> <p>Install and configure e-CODEX exchange platform as communication base for e-Evidence system.</p> <p>Install and configure reference implementation of e-Evidence portal.</p> <p>Integrate Slovak general prosecutor's office national information system IS PTCA to e Codex connector.</p> <p>Implement new functionality in IS PTCA for agreed Use Case "EVP" in GPO SK testing environment.</p> <p>Upgrade IS PTCA (Slovak national's system) operating system infrastructure component to meet required IT security and performance parameters</p>			

Deliverable D2.2 – QA Plan

Deliverable Number	D2.2	Lead Beneficiary	1 - GPSR
Deliverable Name	QA Plan		
Type	R — Document, report	Dissemination Level	SEN - Sensitive
Due Date (month)	7	Work Package No	WP2

Description			
An IT Project standard document approx. 10 pages. Electronic format, Slovak language.			

Deliverable D2.3 – Software Code

Deliverable Number	D2.3	Lead Beneficiary	1 - GPSR
Deliverable Name	Software Code		
Type	OTHER	Dissemination Level	SEN - Sensitive
Due Date (month)	7	Work Package No	WP2

Description
Software code in repository. In Slovak republic we have Methodological guideline no. 024077/2023 – on the quality of software codes and software packages where are conditions for creating and making available software codes.

Deliverable D2.4 – Software Testing Report

Deliverable Number	D2.4	Lead Beneficiary	1 - GPSR
Deliverable Name	Software Testing Report		
Type	R — Document, report	Dissemination Level	SEN - Sensitive
Due Date (month)	18	Work Package No	WP2

Description
Report on testing and information security compliance, approx. 20 pages. Electronic format, Slovak language.

Deliverable D3.1 – Test Cases - Testing the solution between partner organization and beneficiary

Deliverable Number	D3.1	Lead Beneficiary	2 - MJCR
Deliverable Name	Test Cases - Testing the solution between partner organization and beneficiary		
Type	R — Document, report	Dissemination Level	SEN - Sensitive
Due Date (month)	16	Work Package No	WP3

Description
An IT Project standard document approx. 20 pages. Electronic format, Slovak and Czech language.
Creation Test cases based on Use cases and their verification in accordance with expected and agreed business functionality between GP SR and NSZ CR, Execution of integration and end to end tests based on mutually agreed Test cases between GP SR and NSZ CR by subcontractor.
Test e-CODEX infrastructure connection.
Test e-EVIDENCE use case end to end functionality between GP SR and MS CR

Deliverable D3.2 – Software Knowledgebase and User Manual

Deliverable Number	D3.2	Lead Beneficiary	1 - GPSR
Deliverable Name	Software Knowledgebase and User Manual		
Type	R — Document, report	Dissemination Level	SEN - Sensitive

Due Date (month)	21	Work Package No	WP3
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Description
Project documentation on services and development and user training manuals document approx. 50 pages. Electronic format, Slovak language.

Deliverable D3.3 – User Acceptance Test Report

Deliverable Number	D3.3	Lead Beneficiary	1 - GPSR
Deliverable Name	User Acceptance Test Report		
Type	R — Document, report	Dissemination Level	SEN - Sensitive
Due Date (month)	21	Work Package No	WP3

Description
User Acceptance Tests Report and other related project documentation per 401/2023 Coll. Electronic format, Slovak and Czech language, approx. 2 pages.
Execution of User acceptance tests based on mutually agreed Test cases by end users from GP SR and NSZ CR.

Deliverable D4.1 – Deployment Report

Deliverable Number	D4.1	Lead Beneficiary	1 - GPSR
Deliverable Name	Deployment Report		
Type	R — Document, report	Dissemination Level	SEN - Sensitive
Due Date (month)	23	Work Package No	WP4

Description
An IT Project standard document per 401/2023 Coll. approx. 20 pages. Electronic format, Slovak language.

Deliverable D4.2 – Promotions

Deliverable Number	D4.2	Lead Beneficiary	1 - GPSR
Deliverable Name	Promotions		
Type	R — Document, report	Dissemination Level	PU - Public
Due Date (month)	24	Work Package No	WP4

Description
Implementation of the communication plan, ensuring visibility of the project results and the publicity of the EU cooperation grant. Screenshot, photo documentation. Language, Slovak, Czech.

Deliverable D4.3 – Key Users Training

Deliverable Number	D4.3	Lead Beneficiary	1 - GPSR
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Deliverable Name	Key Users Training		
Type	R — Document, report	Dissemination Level	SEN - Sensitive
Due Date (month)	23	Work Package No	WP4

Description
<p>Training of key user groups at the GP SR. Installation and configuration of software components in GP SR production environment.</p> <p>Report/summary: Slovak and Czech language, approx. 30 pages consisting of several materials.</p> <ul style="list-style-type: none"> - Training: will contain several information that will be supplemented during the planning and implementation of the training, especially agenda of training, signed list of participants/attendance report (automatically generated by all main IT platforms), minutes/summary, materials used during the meetings, screenshots/photos, practical materials used during the meetings and the media coverage uploaded to the website and social media, etc. In the preparation phase before the implementation of the project, it is not possible to determine specific information, it will be the subject of the implementation of the project. - Key users: The main group of trained and training workers will be prosecutors and designated administrative staff of the given prosecutor's office. A working group was established for the purpose of representing all prosecutor's offices in Slovakia. <p>All national information systems and international software components ready to use in production environment.</p>

LIST OF MILESTONES

Milestones <i>Grant Preparation (Milestones screen) — Enter the info.</i>					
Milestone No	Milestone Name	Work Package No	Lead Beneficiary	Means of Verification	Due Date (month)
1	Public procurement concluded	WP1	1 - GPSR	A winning IT delivery supplier contracted in line with Act on Public Procurement, ensuring best value for money.	6
2	Project initiation	WP1	1 - GPSR	Project initiated in line with Decree 401/2023 Coll. with set project brief, a project structure, and risk and dependencies management.	6
3	Solution acceptance No. 1	WP1	1 - GPSR	The deployed software is user/tested and accepted, incl. all required documentation, in line with Decree 401/2023 Coll.	23
4	Project Conclusion	WP1	1 - GPSR	A final project document summarizing the project implementation, lessons learned, maintenance requirements and recommendations per Decree 401/2023 Coll.	24
5	Detailed Solution Proposal	WP2	1 - GPSR	An IT Project standard document approx. 50 pages. Electronic format, Slovak language.	11
6	Solution Acceptation No. 2	WP2	1 - GPSR	An IT Project standard document approx. 40 pages. Electronic format, Slovak language.	18
7	User Acceptation Tests	WP3	1 - GPSR	An IT Project standard document approx. 5 pages. Electronic format, Slovak language.	21
8	Solution Deployment	WP4	1 - GPSR	Solution Deployment Report contains all release notes from the deployment. Electronic format, Slovak language.	23

LIST OF CRITICAL RISKS

Critical risks & risk management strategy			
Grant Preparation (Critical Risks screen) — Enter the info.			
Risk number	Description	Work Package No(s)	Proposed Mitigation Measures
1	Public Procurement Delay	WP2, WP3, WP4	Preparation of tender documents of such quality and with sufficient information to minimise the risk of requests for clarification.
2	Mistakes in the public procurement process or documentations	WP2, WP3, WP4	Involve a procurement expert or lawyer and prepare supporting materials of the highest possible quality.
3	Personal issues	WP1, WP2, WP3, WP4	To eliminate these issues, we will create project memos and duplicate information between the project team. If someone resigns, within the notice period train a replacement.
4	Insufficient experience of subcontractor project teams can delay software development.	WP2, WP3, WP4	The applicant will state the requirements for the contractor's experts with the technologies used to build the existing information system, and the requirements for demonstrating experience in the supply of projects of similar scope during the public procurement.
5	Due to delays caused by the subcontractor, the system integration will not be ready to function on the designated date.	WP2, WP3, WP4	Public procurement, as well as the contract with subcontractor will have strict penalty system that will punish subcontractor in case of delays. The goal is to take preventive measures, the repressive will take place only in case of actual delays that might endanger our obligation to the EU.

TECHNICAL DESCRIPTION (PART B)

COVER PAGE

Part B of the Application Form must be downloaded from the Portal Submission System, completed and then assembled and re-uploaded as PDF in the system. Page 1 with the grey IMPORTANT NOTICE box should be deleted before uploading.

Note: Please read carefully the conditions set out in the Call document (for open calls: published on the Portal). Pay particular attention to the award criteria; they explain how the application will be evaluated.

PROJECT	
Project name:	DIGITISING JUDICIAL COOPERATION IN CRIMINAL MATTERS WITH EU PARTNERS
Project acronym:	e-CODEX / e-EDES
Coordinator contact:	General Prosecutor's Office of the Slovak Republic Ing. Andrej Fedor phone: +421 2 20837 754 e-mail: andrej.fedor@genpro.gov.sk

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#APP-FORM-JUST@#

#PRJ-SUM-PS@# [This document is tagged. Do not delete the tags; they are needed for the processing.]

1. RELEVANCE

1.1 Background and general objectives

Background and general objectives

Describe the background and rationale of the project.

How is the project relevant to the scope of the call? How does the project address the general objectives of the call?

What is the project's contribution to the priorities of the call?

Which target groups will be supported/assisted by/in the project. Why have you chosen to focus on them?

The project aims to achieve the EU's goal to connect the prosecution offices and the courts in the participating Member States to the digital exchange platform. The goal is to fulfil the primary priority of the call and Commission Communication on Digitalisation of Justice, Council e-Justice Strategy and Action Plan 2019-2023.

The project is particularly appropriate due to the upcoming mandated use of the e-EDES (and the e-CODEX platform) at international level.

Unlike in some other countries, the establishment of the General Prosecutor's Office in Slovakia is fully independent and separate from the Ministry of Justice. Therefore, the development of electronic services is on a separate track. The organization will join and enhance existing system. Partner organization, the second Beneficiary Ministry of Justice (Czech Republic) has experience with e-CODEX Reference Implementation and will provide knowledge for the Slovak Republic party and will test the implemented solutions. Also the second objective of the second Beneficiary Ministry of Justice (Czech Republic) is to make analysis during integration of the Slovak general prosecutor's office national information system to the e-CODEX connector.

The project is proposed after expert consultations with the Czech Republic, an integrated entity in the eCODEX environment, and all the experience gained by the Czech Republic during the implementation of the project was taken to our project. Last but not least, we were inspired by the successful implementations of other foreign entities that were available at the time of creating the application. The Czech Republic does not currently have eEDES implemented in the national system, and by participating in the project they will gain valuable information to integrate the national system and communicate through eEDES.

We want to take full advantage of the potential from this call (JUST-2023-JACC-EJUSTICE) to set up the system to the highest quality and functional level in advance as it will become mandatory in EU level. For this reason, the involvement of a partner is also necessary to take advantage of the experience of one party that has already carried out a reference implementation and bring the parties together to take advantage of each other's experience in testing and the implementation of the national system, which beneficiary number 1 (Slovak Republic) will implement in the process of this project and beneficiary number 2 (Czech Republic) will carry out the analysis to be able to implement the e-CODEX involvement at the highest quality in national system.

The project will be implemented for key users, which are prosecutors, who use paper, telephone and email channels to communicate with foreign entities in the investigation process, which causes considerable problems. Through the connection to the eCODEX platform and the eEDES system, the entire investigation process will be optimized and thus we will move the General Prosecutor's Office to the level of prosecutors' offices abroad. Key users, as well as all target groups, consist of a similar number of represented men and women who will work with the system and are also represented within the working group, who will be responsible for verifying functionality/incorporating requirements and training or work with the system.

1.2 Needs analysis and specific objectives

**Needs analysis and specific objectives**

Provide a needs assessment. A need is a gap between what is and what should/ would be helpful or useful.

The needs assessment should be your starting point. Specify what needs will be addressed and how they have been identified. It should be specific and focus on the actual needs of the target group. It should include relevant, reliable data and, a robust analysis clearly demonstrating the need for the action (therefore, avoid references to generic statements and information about the problems and needs of the target group). The needs assessment should incorporate gender equality issues and non-discrimination considerations that identify the differences between and among women and men, girls and boys, in terms of their relative position in society and the distribution of resources, opportunities, constraints and power in a given context. The data supporting the needs assessment should be disaggregated by sex, as well as age or disability, whenever possible. You can refer to existing research, studies and previous projects that already demonstrate the need for action.

If your project is supported by a public authority, attach the letter of support in annex.

To fulfil the goal, it is necessary to identify legal, technical, and organizational obstacles to consider a feasibility and appropriate necessary actions. After this analysis, it is possible to install and configure e-CODEX Building Blocks (DOMIBUS Gateway and Connector) exchange platform as communication base for e-Evidence system and install and configure reference implementation of e-Evidence portal. After that, we will integrate Slovak general prosecutor's office national information system to the e-CODEX connector. Specific objective is the implementations of the new functionality in the national system for agreed Use Case and testing environment. All these activities has to meet required IT security and performance parameters.

#@COM-PL-CP@#

1.3 Complementarity with other actions and innovation — European added value**Complementarity with other actions and innovation**

Explain how the project builds on the results of past activities carried out in the field and describe its innovative aspects. Explain how the activities are complementary to other activities carried out by other organisations.

Illustrate the European dimension of the activities: trans-national dimension of the project; impact/interest for a number of EU countries; possibility to use the results in other countries, potential to develop mutual trust/cross-border cooperation among EU countries, etc.

Which countries will benefit from the project (directly and indirectly and why have you chosen them)? Where will the activities take place?

Clarify to what extent the project builds on synergies with other EU projects. If applicable, explain to what extent your project builds on previous project results in this field (state of play, relation to existing/recent developments, approaches, achievements, other EU programmes).

Note: *The project should also complement or add benefits to the EU Member States' interventions in the area of gender equality and non-discrimination mainstreaming.*

The project builds on the results of the past activities carried out in the field of the project under the call JUST-JACC-EJU-AG-2017 under the name Electronic Xchange of e-Evidences with e-CODEX. There is a reason, why we have a partner organization which is Ministry of Justice of the Czech Republic, where the Reference Implementation has been done and the Slovak general prosecutor's office will take knowledge from the partner organisation. On the other side, during the activity, when integration of the Slovak general prosecutor's office national information system to the e-CODEX connector will be done, Czech Republic will take knowledge and performing analyse and use the knowledge during integration of the Czech national system at the Ministry of Justice, what is planned to be done in 2025/2026. Slovak Republic and Czech Republic will together benefit from the project.

#\$COM-PL-CP\$# # \$PRJ-OBJ-PO\$# # \$REL-EVA-RE\$# # @QUA-LIT-QL@# # @CON-MET-CM@#

2. QUALITY**2.1 Concept and methodology****Concept and methodology**

Outline the approach and methodology behind the project. Explain why they are the most suitable for achieving the project's objectives. Include ethical and safety considerations to ensure that target groups are not subjected to harm in any way.

Note: *Methodology is not a list of activities but are instruments, approaches that will be used, applied and created.*



At the beginning, we will identify legal, technical, and organizational obstacles to consider a feasibility and appropriate necessary actions. Based on multicriteria analysis and assessment of suitable alternatives, the most economically and architecturally most suitable solution appears will be selected. This scenario will represent the technologically simplest and at the same time financially and economically most advantageous implementation variant.

In order to ensure the highest possible quality and outputs and deliverables of the project, a project committee will be created, which will assess the proposed solution and the project outputs and procedures themselves. The project committee will regularly meet and evaluate the progress of the project and, in the event that problems arise, will effectively approach finding a solution to the identified problem/limitation. The project committee will consist of employees from the General Prosecutor's Office of the Slovak Republic, the Ministry of Justice of the Czech Republic and representatives of suppliers. All information about assessed risks as well as the progress of work on the project itself will be created continuously through the deliverable Project report.

#§CON-MET-CM§# #@CON-SOR-CS@#

2.2 Consortium set-up

Consortium cooperation and division of roles (if applicable)

Describe the participants (Beneficiaries, Affiliated Entities and Associated Partners, if any) and explain how they will work together to implement the project. How will they bring together the necessary expertise? How will they complement each other?

In what way does each of the participants contribute to the project? Show that each has a valid role and adequate resources to fulfil that role.

Note: When building your consortium you should think of organisations that can help you reach objectives and solve problems.

Slovak general prosecutor's office – Coordinator, Beneficiary No. 1 (GP SR)

Unlike in some other countries, the establishment of the General Prosecutor's Office in Slovakia is fully independent and separate from the Ministry of Justice. Therefore, the development of electronic services is on a separate track. The organization will join e-CODEX/e-EDES and enhance existing national system.

Ministry of Justice of the Czech Republic – Beneficiary No. 2 (MS CZ)

The aim of the cooperation is to analyse the possibilities of using e-EDES in international cooperation and to test the process together with the Slovak Republic in a pilot and production environment.

Based on international cooperation with the Slovak Republic, we have welcomed a joint partnership with the Supreme State Prosecutor's Office in the e-EDES project. E-EDES ensures digital communication in the case of the international Electronic Investigation Order (EIO). Cooperation with the Slovak Republic will ensure the analysis and testing of the EIO in practice. The project is particularly appropriate due to the upcoming mandated use of e-EDES (and the e-COREX platform) at international level.

The Slovak Republic will take knowledge and experiences from Czech Republic, where e-CODEX Reference Implementation has been already done. The Czech Republic will participate also on testing the communication during exchange documentations on e-Evidence system platform.

On other side. In Czech Republic, they are planning to develop new national system who will use procurators and Ministry of Justice, and during the implementation stage and testing, the Czech beneficiary fill make analysis of the IT system for the better implementation of the new national system in Ministry of Justice of the Czech Republic.

In accordance with the e-Justice 2023+ Strategic Framework, point 4.11 Building European eJustice. "We will focus on the predictable and beneficial use of e-Justice services in cross-border cases at European Union level."

2.3 Project teams, staff and experts

Project teams and staff



Describe the project teams and how they will work together to implement the project.

List the staff included in the project budget (budget category A) by function/profile (e.g. project manager, senior expert/advisor/researcher, junior expert/advisor/researcher, trainers/teachers, technical personnel, administrative personnel etc. — use the same profiles as in the detailed budget table, if any (n/a for prefixed Lump Sum Grants)) and describe briefly their tasks. Provide CVs of all key actors.

Note: Please ensure a gender-balanced representation in the composition of project teams and staff performing the action.

Name and function	Organisation	Role/tasks/professional profile and expertise
Project Manager Prosecutor General's Office	GP SR	Project Manager of the project Project Manager plays the lead role in planning, executing, monitoring, controlling and closing the project. He will be accountable for the entire project scope, project team, resources, reporting and monitoring of the project progress. Please see attached CV for professional profile and experience. The right candidate for the position of the Project Manager will be chosen at the project inception.
Andrej Fedor Director of the Informatics Department Prosecutor General's Office	GP SR	Financial Manager of the project Financial Manager is responsible for planning and monitoring of the project's financial resources to enable the applicant to implement the financial goals of the project, as well as overseeing any procurement, personal costs and any other costs related to the project. Please see attached CV for professional profile and experience.
Miroslav Šmejkal Information and Communication Technology Support Officer Prosecutor General's Office	GP SR	Data owner Data Owner is accountable for who has access to information assets within their functional areas. A Data Owner may decide to review and authorize each access request individually or may define a set of rules that determine who is eligible for access based on business function, support role, etc. Please see attached CV for professional profile and experience.
Branislav Boháčik Head of the International Public Law and European Matters Section Prosecutor General's Office	GP SR	Key User of the system Key User determines the features of the new system, accompanies the introduction process, strengthens the acceptance of the software or service among employees and remaining important contact for users even after go-live. During the course of the project, we will involve more key users in the process, which will be prosecutors' offices. Please see attached CV for professional profile and experience.
Rastislav Práger Prosecutor General's Office	GP SR	Analyst / Tester The analyst will be responsible for a detailed analysis of the correct design of the functionality of the interfacing of the reference interface with the national system that will be created in the Czech Republic at the Ministry of Justice and at the Supreme State Prosecutor's Office. He will be present during the IT delivery on the Slovak side to use this experience for future implementation in the Czech Republic.
Analyst Prosecutor General's Office	GP SR	Analyst Vypracuje opis predmetu zakazky pre preces VO, odborný odhad PHZ (VO ktore bude spustene) EKS, test beznej dostupnosti.



		The right candidate for the position of the Project Manager will be chosen at the project inception.
Radek Měrka Head of eJustice department The Ministry of Justice of the Czech Republic	MS CZ	Tester Tester is accountable for who has access to information assets within their functional areas. He will collaborate on the testing side for Recipient No. 1 and make suggestions for improvements and for ensuring proper functionality based on Beneficiary No.2's previous experience from previous similar projects in field of eJustice. Please see attached CV for professional profile and experience.
Monika Mezuliáníková Head of Department of project co-ordination and management The Ministry of Justice of the Czech Republic	MS CZ	Project Manager of the Beneficiary No. 2 Project Manager plays the role in planning, executing, monitoring, controlling, and closing the project. She will be accountable for the project scope, cooperating with Slovak project manager. Please see attached CV for professional profile and experience.
Analyst The Ministry of Justice of the Czech Republic	MS CZ	Analyst The analyst will be responsible for a detailed analysis of the correct design of the functionality of the interfacing of the reference interface with the national system that will be created in the Czech Republic at the Ministry of Justice and at the Supreme State Prosecutor's Office. He will be present during the IT delivery on the Slovak side to use this experience for future implementation in the Czech Republic. The right candidate for the position of the Project Manager will be chosen at the project inception.

Outside resources (subcontracting, seconded staff, etc)

If you do not have all skills/resources in-house, describe how you intend to get them (contributions of members, partner organisations, subcontracting, etc).

If there is subcontracting, please also complete the table in section 4. Moreover, ensure that subcontractors are aware of gender mainstreaming and non-discrimination mainstreaming.

The applicant has department of IT in its organizational structure, it is focused on maintaining day-to-day operations, cybersecurity and IT strategy. Therefore, it lacks internal capacities relating to programming and IT analysis crucial to the project implementation. Thus, the applicant decided that certain parts will be subcontracted. A subcontractor will be chosen through transparent process of public procurement, where all relevant interested parties can join and bid to win the contract but also by assigning it to the current contractor. For more information on subcontracting procedure see table in section 4. A subcontractor will be made aware of our strict non-discrimination policy through public procurement documentation, the subcontracting contract and personal contact throughout the project implementation.

Public tendering will be published after signature of the Grant Agreement.

2.4 Consortium management and decision-making

Consortium management and decision-making (if applicable)

Explain the management structures and decision-making mechanisms within the consortium. Describe how decisions will be taken and how regular and effective communication will be ensured. Describe methods to ensure planning and control.



Note: The concept (including organisational structure and decision-making mechanisms) must be adapted to the complexity and scale of the project.

The coordinator will create Steering Committee and invite representatives. The members of the Steering Committee will be constituted from both sides of the beneficiaries. The representatives will be informed about all the steps of the project. If the situation allows, the communication will be ensured using online platforms. Traveling for the purpose of meeting or securing bilateral negotiations is not excluded. We will use project managements tools to for organizing ang planning. At the same time as signing the grant agreement, the two organisations will sign a Memorandum of Cooperation detailing how they will cooperate in accordance with the grant agreement. The decision-making will be in common consensus.

#§CON-SOR-CS\$# #@PRJ-MGT-PM@#

2.5 Project management, quality assurance and monitoring and evaluation strategy

Project management, quality assurance and monitoring and evaluation strategy

Describe the measures planned to ensure that the project implementation is of high quality and completed in time.

Describe the methods to ensure good quality, monitoring, planning and control.

Describe the evaluation methods and indicators (quantitative and qualitative) to monitor and verify the outreach and coverage of the activities and results (including unit of measurement, baseline and target values). The indicators proposed to measure progress should be relevant, realistic and measurable.

Note: The monitoring and evaluation strategy should also incorporate gender and non-discrimination considerations in order to measure changes and assess impact on gender equality issues. The indicators should be gender responsive so that they can measure gender equality changes over time. For instance, a gender responsive indicator can measure the increase in women's rate of employment or changes in social attitudes towards gender roles in work-life balance. The evaluation should be participatory and inclusive to all stakeholders, ensuring that women's and men's voices are prevalent throughout the entire evaluation process.

To ensure effective communication during whole project's lifecycle custom project implementation structure will be used. From the very beginning of the project the Project manager will finalize the composition of the Steering Committee and invite representatives.

The members of the Steering Committee will be constituted from **both sides of the beneficiaries**.

Project steering committee will be established to lead and to guarantee objectives of the project and will meet every three months. Project initiation document will define project roles communication's matrix. Project Steering committee will authorize relevant team leaders to lead work packages. Project Manager will report to Steering committee on monthly basis, standard project management methodology will be used to deliver this project's objective. Project management provides a method for managing projects within a clearly defined framework to ensure increased quality of the finished products, efficient control of resources, avoidance of either "heroic" (underregulated) or "mechanistic" (over-regulated) working, and increased confidence among the project team. For software development approach waterfall model will be used.

The following phases will be followed in order:

- System and software requirements: captured in a product requirements document
- Analysis: resulting in models, schema, and business rules
- Design: resulting in the software architecture
- Coding: the development, proving, and integration of software
- Testing: the systematic discovery and debugging of defects

Reporting

Continuous reporting

The applicant will continuously report to the granting authority on the progress of the action (e.g., deliverables, milestones, etc.), in the Portal Continuous Reporting tool and in accordance with the timing and conditions it sets out. Standardised deliverables will be submitted using the templates published on the Portal.

Periodic reporting

The applicant will provide reports to request payments, in accordance with the schedule and modalities set out in the Grant agreement. The technical part will include an overview of the action implementation.



It will be prepared using the template available in the Portal Periodic Reporting tool. The financial part of the additional prefinancing report will include a statement on the use of the previous prefinancing payment.

In order to achieve the main project objective, it is necessary to achieve several sub-objectives:

- Compliance with Regulations
- Adherence to the “one strike enough” principle
- Compliance with the principle of economy and efficiency
- Architecturally as simple a solution as possible

Quality management

The applicant will apply the principles of the Quality Management approach in monitoring and evaluation, which has proven to increase the institutional performance of organisations considerably. Major achievements are made by streamlining all internal working procedures, organisational structures and establishing standardised feedback and improvement mechanisms. An appropriately established QM system allows continuous improvement not only at the output level, but also within the preceding activities, eliminating errors and increasing internal resource efficiency significantly through continuous institutional learning.

The adoption of Quality Management standards will ensure that:

- All core activities are carried out in conformity and coordination with the overall mission of the Project;
- Roles and responsibilities are clearly defined and transparently communicated to all involved person;
- Teamwork is promoted by facilitating handovers;
- Redundancy is avoided throughout all stages;
- Working procedures are harmonized and consistent;
- Ensure capitalisation of procedural know-how and achievements;
- Successful best-practices within the project serve as blueprint for other projects;
- Effectiveness and efficiency of all coordination and administration is enhanced;
- Ensuring quality at every stage in the process of delivery the services requested, rather than merely carrying out 'quality checks' at the end of the projects implemented, involves the team's commitment to quality throughout its organisation and is achieved by continuous improvements at all levels to eliminate errors and get it 'right first time';
- In particular the QM approach will embed the continuous monitoring of project progress and implementation;
- Analysing progress towards the schedule and comments;
- Ensuring the timely and quality of the delivery of reports;
- Delivery of training modules;
- Resources utilised with respect to the budget;
- The software will meet security requirements.

Regular co-ordination meetings with the Project Manager will assess project progresses and will result in agreement on necessary adjustments. The Project Manager will also monitor the quality of the outputs produced.

An enhanced monitoring and evaluation strategy will be drafted at the project inception. The enhanced strategy will incorporate gender and non-discrimination considerations in order to measure changes and assess impact on gender equality issues.

#\$PRJ-MGT-PM\$# #@\$FIN-MGT-FM@#


2.6 Cost effectiveness and financial management

Cost effectiveness and financial management *(n/a for prefixed Lump Sum Grants)*

Describe the measures adopted to ensure that the proposed results and objectives will be achieved in the most cost-effective way.



Indicate the arrangements adopted for the financial management of the project and, in particular, how the financial resources will be allocated and managed within the consortium.

 **Do NOT compare and justify the costs of each work package, but summarize briefly why your budget is cost effective.**

Cost effectiveness

A second round of determination of the estimated value of the contract will be carried out prior to the start of the public procurement (the first round was carried out prior to the submission of the request in order to determine the value to be contracted). Subsequently, a tendering procedure will be carried out to establish references and conditions of participation so that only companies with sufficient experience can participate, and subsequently, to ensure **maximum cost-effectiveness**, we will also carry out an **electronic auction**. The result of the electronic auction will then be compared with similar contracts concluded in the national central register of contracts, so that we are sure that the money spent is being put to best use. During the evaluation process, a panel consisting of members from each beneficiary will be set up. This will be done in order to increase transparency. The work will also be carried out through an ongoing contractual relationship with the supplier.

The legal and technical requirements regarding the sensitive nature of affected information systems will be processed by the set of Laws 401/2023 Coll. and 179/2020 on public information systems standards.

Financial management

The applicant will appoint a specific person to fulfil duties of Financial Manager. Throughout the project the Financial Manager of the project will be responsible for keeping records on all the work done and the costs declared. At the end of the project, the final grant amount will be calculated. If the total of earlier payments is higher than the final grant amount, we will initiate repayment procedure of the difference.

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3. IMPACT

3.1 Impact and ambition

Impact and ambition

Define the short, medium and long-term effects of the project.

Who are the target groups? How will the target groups benefit concretely from the project and what would change for them? In what way will the gap identified be reduced? How will the activities contribute to improve the situation (difference between starting point/state of play and the situation after the end of the project?) How will the activities contribute to the promotion and advancement of gender equality and non-discrimination mainstreaming?

Does the project aim to trigger change/innovation? If so, describe them and the degree of ambition (progress beyond the status quo).

Note: Results/outcomes are immediate changes that materialise for the target groups after the end of the project (e.g. improved knowledge, increased awareness). Results/outcomes are different to deliverables. Deliverables are activities undertaken and outputs produced with the resources allocated to the project, e.g. training courses, conferences, manuals, video etc.

When defining expected results/outcomes and deliverables please consider if and how they will reduce, maintain, or increase inequalities between women and men, boys and girls, in all their diversity. What gender, age and disability differentiated results can be expected? How expected results will affect women and men, boys and girls from a range of diverse social groups, differently?

SHORT-TERM IMPACT

The project aims to achieve the EU's goal to connect the prosecution offices and the courts in the participating Member States to the digital exchange platform. The goal is to fulfil the primary priority of the call and Commission Communication on Digitalisation of Justice, Council e-Justice Strategy and Action Plan 2019-2023. In short-term, we will give message to other Stakeholders, that we are connecting to the network **and support and facilitate judicial cooperation in criminal matters**.

MEDIUM-IMPACT

The activities will improve the situation, because unlike in some other countries, the establishment of the General Prosecutor's Office in Slovakia is fully independent and separate from the Ministry of Justice. Therefore, the development of electronic services is on a separate track. Slovak general prosecutor's office will connect to the e-CODEX and develop its implementation into national's system with help of Czech Republic and Ministry of Justice of the Czech Republic, will use the knowledge from Slovak implementation for better implementation of e-CODEX into the future national's system, because the analysis will be realized.

**LONG-TERM IMPACT AND AMBITION**

In line with the EU security agenda, and in order to **effectively combat crime**, there is a need to improve the possibilities for judicial authorities in different Member States to **exchange electronic evidence between them**. After successful realization of this project, digital network will grow and we can focus on next challenges.

In order to ensure the use of the system and that the system reaches the awareness of target groups such as prosecutors and administrative workers, who will use the available communication tool to exchange evidence in the investigation process, a working group consisting of representatives of all regional prosecutor's offices has already been established to create an educational program for training employees to work with the implemented system. Approximately 30 employees will be trained at the beginning, who will then train the prosecutors themselves and administrative staff at the district prosecutor's offices. Training will take place continuously as new employees or if the employees who will work with the system will change. It will consist of a series of several training sessions.

#\$IMP-ACT-IA\$# #@COM-DIS-VIS-CDV@#

3.2 Communication, dissemination and visibility**Communication, dissemination and visibility of funding**

Describe the communication and dissemination activities which are planned in order to promote the activities/results and maximise the impact (to whom, which format, how many, etc.). Clarify how you will reach the target groups, relevant stakeholders, policymakers and the general public and explain the choice of the dissemination channels.

Describe how the visibility of EU funding will be ensured.

Communication and dissemination activities should also contribute to the promotion of gender equality and non-discrimination. Communication materials should use gender inclusive language and positive visual representations. E.g.: When developing videos or leaflets ensure that women and men, in all their diversity, are equally represented in a non-stereotypical fashion and portrayed in active empowered roles. In addition, consider using communication channels that they are accessible to general audience, in particular to persons with disabilities, or people from marginalised groups.

In order to provide sustainable project presence, national work group was established to conduct public and media related activities. The purpose is to complement the marketing activities with strong "Awareness-building" communication. This will provide target audience with understanding and belief in the project as well as provide us with long-term stability and dissemination. The communication plan will address the decision makers with understandable key messages through a variety of communications tools. An integral part of communication strategy is a measurement of success based on media monitoring and regular media audit.

a) Target Audience: 1-day Information workshop

b) Key Messages: The key message, that the project enables building more secure Europe. In line with the EU security agenda, and in order to effectively combat crime, there will be propagation that there is a need to improve the possibilities for judicial authorities in different Member States to exchange electronic evidence between them.

c) Communication channels: There is a number of communication channels, which can be used for building the project awareness through communicating the key messages: Websites of the beneficiaries, print and online media, Conferences and Social media – *Facebook; LinkedIn; Twitter* – periodic update on social media.

d) Communication tools: to reach the target groups the applicant will use a wide range of communication tools – Press releases, Press meetings, Social media posts, Speaking opportunities at conferences– 3 press releases during the project implementation (to document initiation, progress and finalization of the project).

Communication activities of the beneficiaries **related to the project and equipment funded by the grant will acknowledge EU support** and display the **European flag** (emblem) and **funding**

statement. A dedicated Communication Manager will oversee the communication activities throughout the project.



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3.3 Sustainability and continuation

Sustainability, long-term impact and continuation

Describe the follow-up of the project after the EU funding ends. How will the project impact be ensured and sustained? What will need to be done? Which parts of the project should be continued or maintained? How will this be achieved? Which resources will be necessary to continue the project? How will the results be used?

Are there any possible synergies/complementarities with other (EU funded) activities that can build on the project results?

The software and hardware will be maintained by the applicant, adhering to the standard time-limits after project end. Any updates related to the software or hardware will be paid by the applicant, in order to maintain flawless operation of the system and ensuring the above stated impacts.

Using e-CODEX after the EU funding ends is also granted, because it will be mandatory because EU regulations.

Regardless, we will use the system co-funded by the European Union because it contributes to a faster and safer exchange of data, and together we want to create a better and safer future and continue to develop the system and expand partnerships.

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4. WORKPLAN, WORK PACKAGES, ACTIVITIES, RESOURCES AND TIMING

4.1 Work plan

Work plan

Provide a brief description of the overall structure of the work plan (list of work packages or graphical presentation (Pert chart or similar)).

- Work Package 1: Project management and coordination
- Work Package 2: IT Delivery: Analysis, Design, Development
- Work Package 3: Testing the solution between partner organization and beneficiary
- Work Package 4: Rollout, Training, Communication & Promotion

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
WP 1: Project management and coordination																								
WP 2: IT Delivery: Analysis, Design, Development																								
WP 3: Testing the solution between partner organization and beneficiary																								
WP 4: Rollout, Training, Communication & Promotion																								

4.2 Work packages, activities, resources and timing

WORK PACKAGES

Work packages


This section concerns a detailed description of the project activities.


*Group your activities into work packages. **A work package means a major sub-division of the project.** For each work package, enter an objective (expected outcome) and list the activities, milestones and deliverables that belong to it. The grouping should be logical and guided by identifiable outputs.*


Projects should normally have a minimum of 2 work packages.

WP1 should cover the management and coordination activities (meetings, coordination, project monitoring and evaluation, financial management, progress reports, etc) and all the activities which are cross-cutting and therefore difficult to assign to another specific work package (do not try splitting these activities across different work packages).

WP2 and further WPs should be used for the other project activities. You can create as many work packages as needed by copying WP2. Please limit the number of work packages to max 6 and the number of deliverables (and their data volume) to max 10 to 15 for the entire project.

Work packages covering financial support to third parties () only allowed if authorised in the Call document) must describe the conditions for implementing the support (for grants: max amounts per third party; criteria for calculating the exact amounts, types of activity that qualify (closed list), persons/categories of persons to be supported and criteria and procedures for giving support; for prizes: eligibility and award criteria, amount of the prize and payment arrangements).

 *Enter each activity/milestone/output/outcome/deliverable only once (under one work package).*

 *Ensure consistence with the detailed budget table/calculator (if applicable). (n/a for prefixed Lump Sum Grants)*

Objectives

List the specific objectives to which the work package is linked.

Activities and division of work (WP description)

Provide a concise overview of the work (planned tasks). Be specific and give a short name and number for each task.

*Show who is participating in each task: Coordinator (COO), Beneficiaries (BEN), Affiliated Entities (AE), Associated Partners (AP), indicating **in bold** the task leader.*

Add information on other participants' involvement in the project e.g. subcontractors, in-kind contributions.

Examples of tasks in WP1: project management/coordination activities, financial management, evaluation activities.

Examples of tasks for WP2 and following (depending on the work package): trainings delivery, seminars/final conference, drafting and advocating policy recommendations, data collection, data analysis, work on training material, social media communication activities, drafting and publishing newsletters.

Note:

In-kind contributions: In-kind contributions for free are cost-neutral, i.e. cannot be declared as cost. Please indicate the in-kind contributions that are provided in the context of this work package

The Coordinator remains fully responsible for the coordination tasks, even if they are delegated to someone else. Coordinator tasks cannot be subcontracted (see Model Grant Agreement).

If there is subcontracting, please also complete the table below.

Milestones and deliverables (outputs/outcomes)

Milestones are control points in the project that help to chart progress (e.g. completion of a key deliverable allowing the next phase of the work to begin). Use them only for major outputs in complex projects, otherwise leave the section empty. Please limit the number of milestones by work package.

Means of verification are how you intend to prove that a milestone has been reached. If appropriate, you can also refer to indicators.

Deliverables are project outputs which are submitted to show project progress (any format). Refer only to major outputs. Do not include minor sub-items or internal working papers, meeting minutes, etc. Limit the number of deliverables (and their data volume) to max 10-15 for the entire project. You may be asked to further reduce the number during grant preparation.

Examples of milestones and deliverables for WP 1:

Milestones - control points in the project that help to chart progress, e.g. signature of the consortium agreement;

Deliverables - transnational/ national coordination meetings, steering committees, evaluation report, progress report to the EU.

Examples of milestones and deliverables for WP 2:

Milestones - control points in the project that help to chart progress (e.g. completion of a major task);

Deliverables - publications, leaflets, trainings, webinars, conferences, seminars, reports, toolkits, manuals.

For deliverables such as meetings, events, seminars, trainings, workshops, webinars, conferences, etc., enter each deliverable separately and provide the following in the 'Description' field: invitation, agenda, signed presence list, target group, number of estimated participants, duration of the event, report of the event, training material package, presentations, evaluation report, feedback questionnaire.

For deliverables such as manuals, toolkits, guides, reports, leaflets, brochures, training materials etc., add in the 'Description' field: format (electronic or printed), language(s), approximate number of pages and estimated number of copies of publications (if any).

For each deliverable you will have to indicate a due month by when you commit to upload it in the Portal. The due month of the deliverable cannot be outside the duration of the work package and must be in line with the timeline provided below. Month 1 marks the start of the project and all deadlines should be related to this starting date.

The labels used mean:

Public — fully open (🚩 automatically posted online on the Project Results platforms)

Sensitive — limited under the conditions of the Grant Agreement

EU classified — RESTREINT-UE/EU-RESTRICTED, CONFIDENTIEL-UE/EU-CONFIDENTIAL, SECRET-UE/EU-SECRET under Decision [2015/444](#). For items classified under other rules (e.g. national or international organisation), please select the equivalent EU classification level.

Work Package 1

Estimated budget — Resources														
Participant	Costs <i>(n/a for Lump Sum Grants)</i>													
	A. Personnel		B. Subcontracting	C.1a Travel			C.1b Accommodation	C.1c Subsistence	C.2 Equipment	C.3 Other goods, works and services	D.1 Financial support to third parties		E. Indirect costs	Total costs
GP SR	43 person months	152 800,00 EUR	x	x	x	x	x	x	x	x	-	-	10 696 EUR	163 496 EUR
MS CR	1 person months	4 400 EUR	x	x	x	x	x	x	x	x	-	-	308 EUR	4 708 EUR
Total	44 person months	134265,00 EUR	x	x	x	x	x	x	x	x	-	-	9399,00 EUR	143 664,00 EUR
For certain Lump Sum Grants, see detailed budget table/calculator (annex 1 to Part B; see Portal Reference Documents).														

Work Package 2

Estimated budget — Resources												
Participant	Costs <i>(n/a for Lump Sum Grants)</i>											
	A. Personnel	B. Subcontracting	C.1 Travel			C.1 Accommodation	C.1 Subsistence	C.2 Equipment	C.3 Other goods,	D.1 Financial support to third parties	E. Indirect costs	Total costs

EU Grants: Description of the action (DoA) — Annex 1 (JUST): V1.0 – 25.02.2021

										works and services				
GP SR	0 person months	0 EUR	257 634,00 EUR	x	x	x	x	x	x	x	-	-	18 034 EUR	275 668 EUR
MS CR	5 person months	21 200,00 EUR	X	x	x	x	x	x	x	x	-	-	1 484 EUR	22 684 EUR
Total	5 person months	X EUR	257 634 EUR	x	x	x	x	x	x	x	-	-	19 512 EUR	298 352 EUR
For certain Lump Sum Grants, see detailed budget table/calculator (annex 1 to Part B; see Portal Reference Documents).														

Work Package 3

Estimated budget — Resources														
Participant	Costs <i>(n/a for Lump Sum Grants)</i>													
	A. Personnel		B. Subcontracting	C.1 Travel			C.1 Accommodation	C.1 Subsistence	C.2 Equipment	C.3 Other goods, works and services	D.1 Financial support to third parties		E. Indirect costs	Total costs
GP SR	0 person months	0 EUR	101 697 EUR	x	x	x	x	x	x	x	-	-	7 119 EUR	108 816 EUR
MS CR	2 person months	8 400 EUR	X EUR	x	x	x	x	x	x	x	-	-	588 EUR	8 988 EUR
Total	2 person months	8 400 EUR	101 697 EUR	x	x	x	x	x	x	x	-	-	7 707 EUR	117 804 EUR

For certain Lump Sum Grants, see detailed budget table/calculator (annex 1 to Part B; see [Portal Reference Documents](#)).

Work Package 4

Estimated budget — Resources														
Participant	Costs <i>(n/a for Lump Sum Grants)</i>													
	A. Personnel		B. Subcontracting	C.1 Travel			C.1 Accommodation	C.1 Subsistence	C.2 Equipment	C.3 Other goods, works and services	D.1 Financial support to third parties		E. Indirect costs	Total costs
GP SR	0 person months	0 EUR	107 436 EUR	x	x	x	x	x	x	x	-	-	7 521 EUR	114 957 EUR
MS CR	1 person months	4 400 EUR	X	x	x	x	x	x	x	x	-	-	308 EUR	4 708 EUR
Total	1 person months	4 400 EUR	107 436 EUR	x	x	x	x	x	x	x	-	-	7 859 EUR	119 665 EUR
For certain Lump Sum Grants, see detailed budget table/calculator (annex 1 to Part B; see Portal Reference Documents).														

Subcontracting *(n/a for prefixed Lump Sum Grants)*

Subcontracting

Give details on subcontracted project tasks (if any) and explain the reasons why (as opposed to direct implementation by the Beneficiaries/Affiliated Entities).

Subcontracting — Subcontracting means the implementation of 'action tasks', i.e. specific tasks which are part of the EU grant and are described in Annex 1 of the Grant Agreement.

Note: Subcontracting concerns the outsourcing of a part of the project to a party outside the consortium. It is not simply about purchasing goods or services. We normally expect that the participants have sufficient operational capacity to implement the project activities themselves. Subcontracting should therefore be exceptional.

Include only subcontracts that comply with the rules (i.e. best value for money and no conflict of interest; no subcontracting of coordinator tasks). Make sure that subcontractors are aware of the principles of gender mainstreaming and non-discrimination mainstreaming.

Work Package No	Subcontract No (continuous numbering linked to WP)	Subcontract Name (subcontracted action tasks)	Description (including task number and BEN/AE to which it is linked)	Estimated Costs (EUR)	Justification (why is subcontracting necessary?)	Best-Value-for-Money (how do you intend to ensure it?)
2	S2.1	IT Delivery	2.1 GP SR 2.2 GP SR 2.3 GP SR 2.4 GP SR	257 634 EUR	No Internal IT delivery capacity	Subject to public procurement and public IS compliance / an ongoing contractual relationship with the existing supplier
3	S3.1	Testing the solution	3.1 GP SR 3.2 GP SR	101 697 EUR	Compliance and compatibility with other IS provision and maintenance	Subject to public procurement and public IS compliance / an ongoing contractual relationship with the existing supplier
4	S4.1	Training	4.1 GP SR	16 503 EUR	Dependency on S2.1, i.e., Subcontracted IT Delivery	Subject to public procurement and public IS compliance / an ongoing contractual relationship with the existing supplier
4	S4.2	Rollout	4.2 GP SR	90 933 EUR	Compliance and compatibility with other IS provision and maintenance	Subject to public procurement and public IS compliance / an ongoing contractual relationship with the existing supplier
Other issues:			The applicant has department of IT in its organizational structure, it is focused on maintaining day-to-day operations, cybersecurity and IT strategy. Therefore, it lacks internal capacities relating to programming			

If subcontracting for the project goes beyond 30% of the total eligible costs, give specific reasons.

and IT analysis crucial to the project implementation. Thus, the applicant decided that certain parts will be subcontracted. A subcontractor will be chosen through transparent process of public procurement, where all relevant interested parties can join and bid to win the contract. The work will also be carried out through an ongoing contractual relationship with the supplier.

Timetable

Timetable (projects up to 2 years)																								
Fill in cells in beige to show the duration of activities. Repeat lines/columns as necessary.																								
Note: Use the project month numbers instead of calendar months. Month 1 marks always the start of the project. In the timeline you should indicate the timing of each activity per WP.																								
ACTIVITY	MONTHS																							
	M 1	M 2	M 3	M 4	M 5	M 6	M 7	M 8	M 9	M 10	M 11	M 12	M 13	M 14	M 15	M 16	M 17	M 18	M 19	M 20	M 21	M 22	M 23	M 24
Task 1.1 – Project Management																								
Task 1.2 – Public procurement																								
Task 1.3 – Evaluation																								
Task 2.1 – Analysis																								
Task 2.2 – Design																								
Task 2.3 – Integration																								
Task 2.4 – Implementation																								
Task 3.1 – Factory acceptance testing																								

 Associated with document Ref. Ares(2024)8332062 - 22/11/2024

 Associated with document Ref. Ares(2024)8332062 - 22/11/2024



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5. OTHER

5.1 Ethics and EU values

Ethics and EU values

Describe ethics issues that may arise during the project implementation and the measures you intend to take to solve/avoid them.

Describe how you will ensure gender and non-discrimination mainstreaming in the project cycle. This means integrating gender equality and non-discrimination considerations in the design, implementation, monitoring and evaluation of project activities. Projects activities should be pro-active and contribute to the equal empowerment of women and men, girls and boys, in all their diversity, and ensure that they achieve their full potential, enjoy the same rights and opportunities. Gender and non-discrimination mainstreaming are a key mechanism for achieving gender equality and combating multiple and intersecting discrimination. In the delivery of project activities gender mainstreaming shall be ensured by systematically monitoring access, participation, and benefits among different genders, and by incorporating remedial action that redresses any gender inequalities and discriminatory effects in implementation of planned activities. The activities shall also seek to reduce levels of discrimination suffered by particular groups (as well as those at risk of multiple discrimination) and to improve equality outcomes for individuals.

If your project has a direct or indirect impact on children and their rights, indicate it clearly here. Make sure that your project is based on a child rights approach, i.e. that all the rights of the EU Charter of Fundamental Rights and the United Nations Convention on the Rights of the Child (UNCRC) and the Optional protocols, are promoted, respected, protected and fulfilled. The project should address children as rights holders and should ensure their participation in the design and implementation of the project. If you will have direct contacts with children you will have to provide a child protection policies in line with the [Keeping Children Safe Child Safeguarding Standards](#).

Explain how you intend to address privacy/data protection issues related to data collection, analysis and dissemination.

Outline measures to be taken and the policies in place to guarantee full compliance with the EU values mentioned in Article 2 of the Treaty on the European Union and Article 21 of the EU Charter of Fundamental Rights.

In accordance with Article 21 of the EU Charter of Fundamental Rights as well as Article 2 of the Treaty on the European Union, any potential cases of discrimination based on any ground such as sex, race, colour, ethnic or social origin, genetic features, language, religion or belief, political or any other opinion, membership of a national minority, property, birth, disability, age or sexual orientation, **will be closely examined and immediate response will be taken**. Through the implementation of the project all team members and subcontractors will be continuously educated on preventing any discrimination. The applicant ensures the **same conditions and career growth opportunities to men and women** and is guided by the company's principles on gender equality. The principles aim to create a state of equal ease of access to work resources and opportunities regardless of gender, including economic participation and decision-making. We value different behaviours, aspirations and needs equally, regardless of gender.

The project will fully possibly contribute to the **equal empowerment of genders**, and to ensure that they achieve their full potential, enjoy the same rights and opportunities. When implementing the project or organizing communication activities, the applicant will invite all genders equally. In the delivery of project outputs, gender mainstreaming will be ensured by monitoring access, participation, and benefits among women and men by accepting me and women equally at all project roles by internal staff and by subcontractor staff. The applicant will incorporate remedial action that redresses any gender inequalities in project implementation following State employee ethics code. Moreover, the applicant as a state body is directly bound by the Law no 55/2017 Coll. on the Civil Service, which states in par. 4 "In Civil Service any discrimination against a civil servant and a citizen on the grounds of sex, sexual orientation, religion or belief, race, nationality or ethnic group, skin colour, language, social origin, property, gender, adverse health condition or disability, age, marital status, marital status, political opinion, membership in a trade union or activity in a trade union, other association, due to a different status or due to the reporting of a crime or other anti - social activity is prohibited."

Rights of the child mainstreaming

Background checks of TCN in Europe will ensure prevention of criminal activities committed on children and their rights. Their personal data will be processed with the highest possible level of security, so that there are no data leaks resulting in compromising personal data. In the same manner, all the rights of the EU Charter of Fundamental Rights and the United Nations Convention on the Rights of the Child (UNCRC) and the Optional protocols, will promoted, respected, protected and fulfilled. Children will be considered equal when it comes to respect of their dignity and fundamental rights.

Data protection

The processing of personal data relating to the guilty plea for a criminal offense or misdemeanour is regulated by Section 17 of Act no. 18/2018 Coll. on Personal Data Protection (hereinafter referred to as the “Personal Data Protection Act”). The operator for the purpose of processing personal data according to a special regulation can only be a state body and thus process personal data related to the guilty plea for criminal offenses or related security measures only on the basis of a special regulation or international agreement by which the Slovak Republic and Czech Republic is bound, and which provide adequate guarantees for the protection of the rights of the data subject. Act. Prohibition of processing these data in accordance with § 16 par. 2 letter f) of the Personal Data Protection Act does not apply if the processing is necessary for the exercise of a claim or in the exercise of jurisdiction. For the above-stated reasons, CR processes this data, but only for as long as it is necessary and there is a legal reason to do so. After fulfilling the purpose of processing, it is necessary to delete biometric data in IS EOO.

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5.2 Security

Security

Not applicable

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6. DECLARATIONS

Double funding	
Information concerning other EU grants for this project	YES/NO
 Please note that there is a strict prohibition of double funding from the EU budget (except under EU Synergies actions).	
We confirm that to our best knowledge neither the project as a whole nor any parts of it have benefitted from any other EU grant (including EU funding managed by authorities in EU Member States or other funding bodies, e.g. EU Regional Funds, EU Agricultural Funds, etc). If NO, explain and provide details.	YES
We confirm that to our best knowledge neither the project as a whole nor any parts of it are (nor will be) submitted for any other EU grant (including EU funding managed by authorities in EU Member States or other funding bodies, e.g. EU Regional Funds, EU Agricultural Funds, etc). If NO, explain and provide details.	YES

Financial support to third parties

If in your project the maximum amount per third party will be more than the threshold amount set in the Call document, justify and explain why the higher amount is necessary in order to fulfil your project's objectives.

Not applicable

#§DEC-LAR-DL§#



ANNEXES

LIST OF ANNEXES

Standard

Detailed budget table/Calculator (annex 1 to Part B) — *mandatory for certain Lump Sum Grants (see [Portal Reference Documents](#))*

CVs (annex 2 to Part B) — *mandatory, if required in the Call document*

Annual activity reports (annex 3 to Part B) — *mandatory, if required in the Call document*

List of previous projects (annex 4 to Part B) — *mandatory, if required in the Call document*

Special

Child protection policy (annex 5 to Part B) — *mandatory, if required in the Call document*

Letter of support (annex 6 to Part B) — *optional*

**LIST OF PREVIOUS PROJECTS**

List of previous projects						
Please provide a list of your EU-funded projects for the last 4 years.						
Participant	EU Programme Name	Project Reference No and Title	Period (start and end date)	Role (COO, BEN, AE, OTHER)	Amount (EUR)	Website (if any)
GENERALN A PROKURAT URA SR (PIC 890982249)	JUST2027 (ID JUST-2021-JCOO)	GP SR ECRIS-TCN, No. 101046460	01/01/2022 – 30/06/2023	BEN	400.000,00 EUR	n/a
GENERALN A PROKURAT URA SR (PIC 890982249)	ISF-2022-TF1-AG-COP	OSINT RADAR – No. 101102419	01/06/2023-30/05/2026	COO	2.213.888,85 EUR – total 71.502,75 EUR – BEN (SVK)	n/a

HISTORY OF CHANGES		
VERSION	PUBLICATION DATE	CHANGE
1.0	25.02.2021	Initial version (new MFF).
2.0	01.06.2022	Consolidation, formatting and layout changes. Tags added.
3.0	20.09.2024	Consolidation and consideration of comments, GA ready for Evaluation
4.0	18.10.2024	<p>Description of the changes:</p> <ul style="list-style-type: none"> - More detailed specification of deliverables based on the request of the project manager - Adjustment of the delivery date of individual deliverables - Changes within the budget <ul style="list-style-type: none"> o the addition of a specialist analyst and the corresponding budget o During the period when the application was submitted and now, time has passed and there have been several changes and shifts at the General Prosecutor's Office in the case of the possibilities of electronicization, mainly the staffing of new employees with professional knowledge such as e.g. - Rastislav Práger as an analyst, tester and project coordinator or Michal Ďungel as a project manager and expert in the field of implementation of prosecution and judiciary projects. Last but not least, other team members also completed several training and educational activities with us and thus contributed to the possibility that we will be able to perform several activities with internal capacities and not with external suppliers, so that the know-how remains with us and not on the supplier's side. For the above-mentioned reasons, several budget



		<p>changes were made and thus also the transfer of some activities to internal positions, by adding the scope and also the allocation of funds, the funds reserved for the supplier were reduced by the given funds.</p> <ul style="list-style-type: none">○ Activities that have been outsourced to internal staff (Training and education of relevant workers, preparation of training materials, support in the implementation process in the area of data exchange through eEDES)○ Removal of financial management of the project based on the request of the project manager and transfer of resources to other roles as described <p>- Adjustment of project effort</p>

ANNEX 2

ESTIMATED BUDGET (LUMP SUM BREAKDOWN) FOR THE ACTION

Forms of funding	Estimated EU contribution				
	Estimated eligible lump sum contributions (per work package)				Maximum grant amount ¹
	WP1 Project management and coordination	WP2 IT Delivery: Analysis, Design, Development	WP3 Testing the solution between partner organization and beneficiary	WP4 Rollout, Training, Communication & Promotion	
	Lump sum contribution	Lump sum contribution	Lump sum contribution	Lump sum contribution	
	a	b	c	d	e = a + b + c + d
1 - GPSR	102 078.00	275 458.00	108 815.00	110 461.00	596 812.00
2 - MJCR	4 280.00	20 223.00	8 025.00	4 280.00	36 808.00
Σ consortium	106 358.00	295 681.00	116 840.00	114 741.00	633 620.00

¹ The 'maximum grant amount' is the maximum grant amount fixed in the grant agreement (on the basis of the sum of the beneficiaries' lump sum shares for the work packages).

ANNEX 3

ACCESSION FORM FOR BENEFICIARIES

MINISTERSTVO SPRAVEDLNOSTI (MJCR), PIC 974996471, established in VYSEHRADSKA 427/16, PRAHA CZ0100, Czechia,

hereby agrees

to become beneficiary

in Agreement No 101160567 — e-CODEX - e-EDES ('the Agreement')

between GENERALNA PROKURATURA SR (GPSR) **and** the **European Union** ('EU'), represented by the European Commission ('European Commission' or 'granting authority'),

and mandates

the coordinator to submit and sign in its name and on its behalf any **amendments** to the Agreement, in accordance with Article 39.

By signing this accession form, the beneficiary accepts the grant and agrees to implement it in accordance with the Agreement, with all the obligations and terms and conditions it sets out.

SIGNATURE

For the beneficiary

FINANCIAL STATEMENT FOR THE ACTION FOR REPORTING PERIOD [NUMBER]

EU contribution												
Eligible lump sum contributions (per work package)												Requested EU contribution
	WP1 [name]	WP2 [name]	WP3 [name]	WP4 [name]	WP5 [name]	WP6 [name]	WP7 [name]	WP8 [name]	WP9 [name]	WP10 [name]	WP [XX]	
Forms of funding	[Lump sum contribution// Financing not linked to costs]	[Lump sum contribution// Financing not linked to costs]	[Lump sum contribution// Financing not linked to costs]	[Lump sum contribution// Financing not linked to costs]	[Lump sum contribution// Financing not linked to costs]	[Lump sum contribution// Financing not linked to costs]	[Lump sum contribution// Financing not linked to costs]	[Lump sum contribution// Financing not linked to costs]	[Lump sum contribution// Financing not linked to costs]	[Lump sum contribution// Financing not linked to costs]	[Lump sum contribution// Financing not linked to costs]	
Status of completion	COMPLETED	COMPLETED	COMPLETED	COMPLETED	COMPLETED	COMPLETED	COMPLETED	PARTIALLY COMPLETED	PARTIALLY COMPLETED	COMPLETED	NOT COMPLETED	
	a	b	c	d	e	f	g	h	i	j	k	$l = a + b + c + d + e + f + g + h + i + j + k$
1 – [short name beneficiary]												
1.1 – [short name affiliated entity]												
2 – [short name beneficiary]												
2.1 – [short name affiliated entity]												
X – [short name associated partner]												
Total consortium												

The consortium hereby confirms that:

The information provided is complete, reliable and true.

The lump sum contributions declared are eligible (in particular, the work packages have been completed and the work has been properly implemented and/or the results were achieved; see Article 6).

The proper implementation of the action/achievement of the results can be substantiated by adequate records and supporting documentation that will be produced upon request or in the context of checks, reviews, audits and investigations (see Articles 19, 21 and 25).

ANNEX 5

SPECIFIC RULES

INTELLECTUAL PROPERTY RIGHTS (IPR) — BACKGROUND AND RESULTS — ACCESS RIGHTS AND RIGHTS OF USE (— ARTICLE 16)

Rights of use of the granting authority on results for information, communication, dissemination and publicity purposes

The granting authority also has the right to exploit non-sensitive results of the action for information, communication, dissemination and publicity purposes, using any of the following modes:

- **use for its own purposes** (in particular, making them available to persons working for the granting authority or any other EU service (including institutions, bodies, offices, agencies, etc.) or EU Member State institution or body; copying or reproducing them in whole or in part, in unlimited numbers; and communication through press information services)
- **distribution to the public** in hard copies, in electronic or digital format, on the internet including social networks, as a downloadable or non-downloadable file
- **editing** or **redrafting** (including shortening, summarising, changing, correcting, cutting, inserting elements (e.g. meta-data, legends or other graphic, visual, audio or text elements extracting parts (e.g. audio or video files), dividing into parts or use in a compilation
- **translation** (including inserting subtitles/dubbing) in all official languages of EU
- **storage** in paper, electronic or other form
- **archiving** in line with applicable document-management rules
- the right to authorise **third parties** to act on its behalf or sub-license to third parties, including if there is licensed background, any of the rights or modes of exploitation set out in this provision
- **processing**, analysing, aggregating the results and **producing derivative works**
- **disseminating** the results in widely accessible databases or indexes (such as through ‘open access’ or ‘open data’ portals or similar repositories, whether free of charge or not.

The beneficiaries must ensure these rights of use for the whole duration they are protected by industrial or intellectual property rights.

If results are subject to moral rights or third party rights (including intellectual property rights or rights of natural persons on their image and voice), the beneficiaries must ensure that they

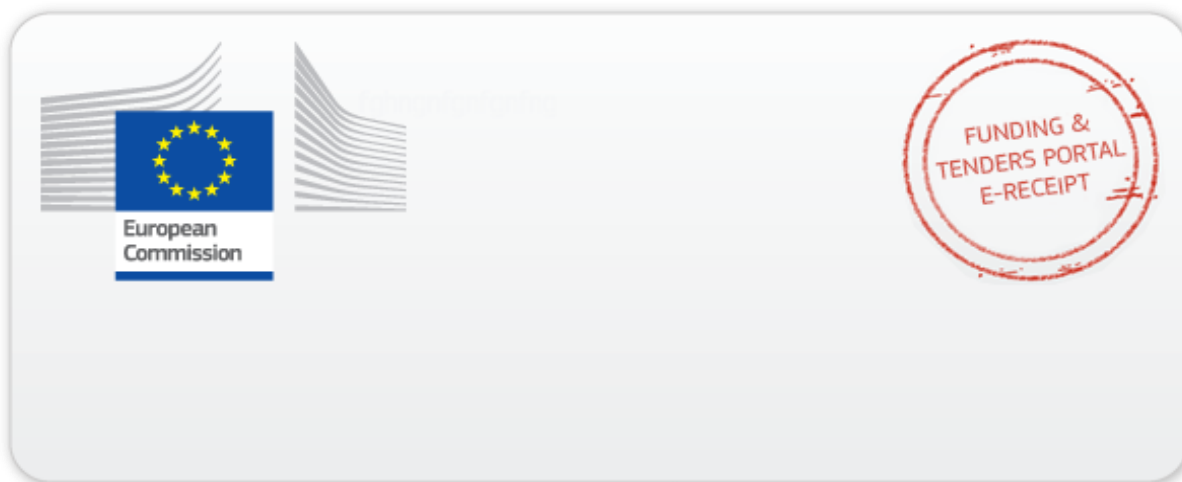
comply with their obligations under this Agreement (in particular, by obtaining the necessary licences and authorisations from the rights holders concerned).

COMMUNICATION, DISSEMINATION AND VISIBILITY (— ARTICLE 17)

Additional communication and dissemination activities

The beneficiaries must engage in the following additional communication and dissemination activities:

- present the project (including project summary, coordinator contact details, list of participants, European flag and funding statement and project results) on the beneficiaries' websites or social media accounts
- for actions involving **publications**, mention the action and the European flag and funding statement on the cover or the first pages following the editor's mention
- for actions involving public **events**, display signs and posters mentioning the action and the European flag and funding statement
- upload the public **project results** to the Justice Programme Project Results platform, available through the Funding & Tenders Portal.



This electronic receipt is a digitally signed version of the document submitted by your organisation. Both the content of the document and a set of metadata have been digitally sealed.

This digital signature mechanism, using a public-private key pair mechanism, uniquely binds this eReceipt to the modules of the Funding & Tenders Portal of the European Commission, to the transaction for which it was generated and ensures its full integrity. Therefore a complete digitally signed trail of the transaction is available both for your organisation and for the issuer of the eReceipt.

Any attempt to modify the content will lead to a break of the integrity of the electronic signature, which can be verified at any time by clicking on the eReceipt validation symbol.

More info about eReceipts can be found in the FAQ page of the Funding & Tenders Portal.

(<https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/support/faq>)