

## **ADDENDUM**

to

**EEA Financial Mechanism 2014-2021**

### **PROGRAMME AGREEMENT**

for the financing of the Programme “Cross-border Cooperation/Good governance,  
Accountable Institutions, Transparency”  
hereinafter referred to as the “Programme Agreement”

between

The Financial Mechanism Committee  
hereinafter referred to as the “FMC”

and

Government Office of the Slovak Republic,  
hereinafter referred to as the “Focal Point”,  
representing Slovakia,  
hereinafter referred to as the “Beneficiary State”  
together hereinafter referred to as the “Parties”

Article 1

1. The Annexes to the EEA Financial Mechanism Programme Agreement for the financing of the Programme SK GOVERNANCE are replaced by the Annexes sent with this addendum.
2. The modifications contained in the new versions of Annex I and Annex II to the Programme Agreement entered into force on 28 June 2019.

Article 2

This Addendum and the Annexes accompanying it constitute an integral part of the Programme Agreement. Except from the modifications stated above, the Programme Agreement remains unchanged.

Signed in Brussels on.....

Signed in .....on.....

For the FMC

For the Focal Point

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Henning Stirø  
Director  
Financial Mechanism Office

.....  
Matúš Šutaj Eštok  
Head  
Government office of the Slovak Republic

## Annex I

<b>Programme Operator and Partners</b>	
Programme Operator:	Government Office of the Slovak Republic
Donor Programme Partner:	The Norwegian Barents Secretariat
IPO:	Organisation for Economic Cooperation and Development (OECD)
Other Programme Partners(s):	N/A

<b>Programme Objective</b>	<b>Integrity and accountability of public administration improved</b>
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PA	Number	Expected programme results	Indicator	Unit of measurement	Source of verification	Frequency of reporting	Baseline value	Baseline year	Target value
PA16	Outcome 1	<i>Integrity of public administration improved</i>	Control of Corruption indicator score (CCI - World Bank)	Number	CCI World Bank	Annually (APR)	62.02	2016	65
			Corruption perception index score (Transparency International)	Number	Transparency International CPI	Annually (APR)	54	2016	49
			Annual number of detected corruption cases registered by the Ministry of Interior	Number	Ministry of Interior's records	Annually (APR)	367	2016	500
	Output 1.1	<i>Measures to improve integrity of public administration implemented</i>	Number of capacity building workshops organised	Number	Event agendas and attendance sheets	Semi-annually	0	N/A	3

PA	Number	Expected programme results	Indicator	Unit of measurement	Source of verification	Frequency of reporting	Baseline value	Baseline year	Target value
			Number of line ministries whose policies in the field of integrity of public administration are coordinated	Number	Meeting agendas and attendance sheets	Semi-annually	0	N/A	5
			Number of public servants lectured on ethical conduct (disaggregated by gender)	Number	Workshop agendas and attendance sheets	Semi-annually	0	N/A	350
			Public Integrity Review conducted	Binary	Copy of Public Integrity Review report	Semi-annually	No	N/A	Yes
			Set of standards to measure the integrity of public administration developed	Binary	Copies of standards	Semi-annually	No	N/A	Yes
PA21	Outcome 2	<i>Quality of the judicial system improved</i>	Number of beneficiaries of services provided or improved	Number	Project Promoters' records	Semi-annually	0	N/A	360
			Number of family law cases prosecuted by the courts which are supported by the programme	Number	Justice department's records	Annually (APR)	0	N/A	120
			Number of professionals trained who self-report increased competence and capacity for working with victims of crimes and protection of minors	Number	Survey results	2022, 2024	N/A	N/A	180
	Output 2.1	<i>Quality of family law prosecution improved</i>	Number of court premises refurbished	Number	Acceptance documents (building certificate)	Semi-annually	0	N/A	8

PA	Number	Expected programme results	Indicator	Unit of measurement	Source of verification	Frequency of reporting	Baseline value	Baseline year	Target value
			Number of educational programmes for mediators and judges developed	Number	Copies of educational programmes' curricula	Semi-annually	0	N/A	1
	Output 2.2	<i>Access to the justice for the victims improved</i>	Number of educational programmes for judges and prosecutors, focused on improving handling victims	Number	Copies of educational programmes' curricula	Semi-annually	0	N/A	2
			Number of enforcement officers trained	Number	Attendance sheets	Semi-annually	0	N/A	36
			Number of inter-ministerial meetings promoting cooperation on the protection of the rights of the victim	Number	Attendance sheets	Semi-annually	0	N/A	30
PA16	Outcome 3	<i>Slovak-Ukrainian institutional cooperation enhanced</i>	Level of (self-reported) knowledge among Ukrainian participants involved in cooperation with SK (on issues related to procurement, justice, etc.) targeted by projects' activities	Scale 1-10	Survey results	Annually (APR)	TBD	TBD	(+100%)
			Number of cooperation partnerships remaining between Slovak and Ukrainian Line Ministries at completion of the programme	Number	Project Promoter's records	Annually (APR)	0	N/A	5
	Output 3.1	<i>Measures fostering Slovak-Ukrainian cooperation implemented</i>	Number of best practices transferred in the field of transparency from Slovakia to Ukraine	Number	Project promoter's records	Semi-annually	0	N/A	10
			Number of people attending joint workshops organised between Slovak and	Number	Attendance sheets	Semi-annually	0	N/A	500

PA	Number	Expected programme results	Indicator	Unit of measurement	Source of verification	Frequency of reporting	Baseline value	Baseline year	Target value
			Ukrainian public sector entities (disaggregated by gender)						
			Number of secondments from Ukraine to Slovakia	Number	Project promoter's records	Semi-annually	0	N/A	20
	Output 3.2	<i>Measures fostering Slovak-Ukrainian-Norwegian institutional cooperation related to CBRN (chemical, biological, radioactive, nuclear) safety</i>	CBRN defence education and training systems for Security Forces of Ukraine established	Binary	Attendance sheets	Semi-annually	No	N/A	Yes
			Number of Slovak police specialists educated and trained (disaggregated by gender)	Number	Attendance sheets, feedback questionnaires	Semi-annually	0	N/A	160
			Number of Ukrainian Security Forces specialists educated and trained (disaggregated by gender)	Number	Attendance sheets, feedback questionnaires	Semi-annually	0	N/A	100
			Number of assessments of the current status of Internal Acts in the field of CBRN safety and cross-border flow of information	Number	Copies of assessments	Semi-annually	0	N/A	2
			Number of exercises of Slovak security forces with international observation <sup>1</sup>	Number	Project promoter's records	Semi-annually	0	N/A	1
			Number of international exercises conducted <sup>1</sup>	Number	Project promoter's records	Semi-annually	0	N/A	1

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<sup>1</sup> Reaction to CBRN incident.

PA	Number	Expected programme results	Indicator	Unit of measurement	Source of verification	Frequency of reporting	Baseline value	Baseline year	Target value
			Number of private sector representatives trained on handling illicit CBRN materials (disaggregated by gender)	Number	Attendance sheets	Semi-annually	0	N/A	45
PA16	Outcome 4	<i>Increased application of the Value for Money principle in public procurement</i>	Average number of bidders in public procurements	Annual number	Project promoter's records	Annually (APR)	3.6	2016	4
			Number of cases in which the pre-tender market consultations have been used	Number	Project promoter's records	Semi-annually	0	N/A	50
			Share of public procurements using MEAT criteria <sup>2</sup>	Percentage	Project promoter's records	Annually (APR)	20.0%	2016	40.0%
	Output 4.1	<i>MEAT ("Most Economically Advantageous Tenders") criteria applied</i>	Number of professional chambers and institutions involved in the preparation of the standards/templates	Number	Project promoter's records	Semi-annually	0	N/A	3
			Number of standards/templates for the application of MEAT criteria developed	Number	Copies of standards	Semi-annually	0	N/A	6
			Number of workshops for the biggest Contracting Authorities on MEAT criteria	Number	Copies of workshop materials, attendance sheets	Semi-annually	0	N/A	6
Bilateral	Bilateral outcome	<i>Enhanced collaboration between</i>	Level of satisfaction with the partnership (disaggregated by State type)	Scale 1-7	Survey results	Annually (APR)	TBD	2019	≥4.5, and an increase on the baseline value

<sup>2</sup> Most economically advantageous tender.

PA	Number	Expected programme results	Indicator	Unit of measurement	Source of verification	Frequency of reporting	Baseline value	Baseline year	Target value
		<i>Beneficiary and Donor State entities involved in the programme</i>	Level of trust between cooperating entities in Beneficiary States and Donor States (disaggregated by State type)	Scale 1-7	Survey results	Annually (APR)	TBD	2019	≥4.5, and an increase on the baseline value
			Share of cooperating organisations that apply the knowledge acquired from bilateral partnership (disaggregated by State type)	Percentage	Survey results	Annually (APR)	N/A	N/A	≥50%
	Bilateral output 1	<i>Cooperation between Donor and Beneficiary State entities supported</i>	Number of projects involving cooperation with a donor project partner (disaggregated by Donor State)	Number	Project contracts and partnership agreements	Semi-annually	0	N/A	4
			Number of staff from the beneficiary country participating in study visits to Norway	Number	Attendance sheets	Semi-annually	0	N/A	36
			Share of projects implemented in trilateral partnerships between Slovakia, Ukraine and the Donor States	Percentage	Project contracts and partnership agreements	Semi-annually	0.0%	N/A	33.30%



## Conditions

### General

1. The maximum level of funding available from the total eligible expenditure of the programme for infrastructure (hard measures) shall be 30%.
2. For pre-defined project no. 1 (numbering under Section 5.1 of Annex II to the Programme Agreement), the National Focal Point shall ensure that the Programme Operator ensures that the appraisal foreseen in Article 6.5.3 of the Regulation is externalised and carried out by a legal entity independent of and unrelated to the Programme Operator.
3. For pre-defined project no. 1 (numbering under Section 5.1 of Annex II to the Programme Agreement), the Programme Operator’s responsibilities regarding the verification of payment claims described in Article 5.6.1 e) of the Regulation, and the verification of the project outputs described in Article 5.6.1 g) of the Regulation, shall be externalised and be carried out by a legal entity independent of and unrelated to the Programme Operator. The project interim and final reports shall be approved by this entity. No interim or final payment shall be made to the project until the respective project interim or final report is approved by this entity.
4. The National Focal Point shall ensure that the Programme Operator ensures that project promoters:
  - Keep any buildings purchased, constructed, renovated or reconstructed under the project in their ownership for a period of at least 5 years following the completion of the project and continue to use such buildings for the benefit of the overall objectives of the project for the same period;
  - Keep any buildings purchased, constructed, renovated or reconstructed under the project properly insured against losses such as fire, theft and other normally insurable incidents both during project implementation and for at least 5 years following the completion of the project; and
  - Set aside appropriate resources for the maintenance of any buildings purchased, constructed, renovated or reconstructed under the project for at least 5 years following the completion of the project. The specific means for implementation of this obligation shall be specified in the project contract.
5. For the outcome 3 indicator “Level of (self-reported) knowledge among Ukrainian participants involved in cooperation with SK (on issues related to procurement, justice, etc.) targeted by projects’ activities”, where the baseline value is “to be determined” (TBD), the Programme Operator shall submit to the FMO for approval the baseline value, together with a description of the data collection method used, no later than 6 months after the finalisation of the selection of projects under Open call and the Call of the Small Grant Scheme. The updated baseline value shall be agreed upon through a modification of the programme agreement.

Pre-eligibility

Not applicable

Pre-payment

Not applicable

Pre-completion

Not applicable

Post-completion

Not applicable

<b>Eligibility of costs - period</b>	First date	End date
Eligibility of costs	29/11/2016	31/12/2024

<b>Grant rate and co-financing</b>	
Programme eligible expenditure (€)	€ 10,000,000
Programme grant rate (%)	85 %
Maximum amount of Programme grant - EEA Financial Mechanism (€)	€ 8,500,000
Maximum amount of Programme grant - Norwegian Financial Mechanism (€)	€ 0
Maximum amount of Programme grant - Total (€)	€8,500,000

Maximum eligible costs (€) and Advance payment amount (€)								
PA	Budget heading	EEA Grants	Norway Grants	Total grant	Programme grant rate	Programme co-financing	Programme eligible expenditure	Advance payment
PM	Programme management	€ 680,000	€ 0	€ 680,000	85 %	€ 120,000	€ 800,000	€ 200,000
PA16	Integrity of public administration improved	€ 1,275,000	€ 0	€ 1,275,000	85 %	€ 225,000	€ 1,500,000	€ 225,000
PA21	Quality of the judicial system improved	€ 1,020,000	€ 0	€ 1,020,000	85 %	€ 180,000	€ 1,200,000	€ 180,000
PA16	Slovak-Ukrainian institutional cooperation enhanced	€ 4,250,000	€ 0	€ 4,250,000	85 %	€ 750,000	€ 5,000,000	€ 375,000
PA16	Increased application of the Value for Money principle in public procurement	€ 1,275,000	€ 0	€ 1,275,000	85 %	€ 225,000	€ 1,500,000	€ 225,000
	Total	€ 8,500,000	€ 0	€ 8,500,000	85 %	€ 1,500,000	€ 10,000,000	€ 1,205,000

Retention of management costs	
Retention of management costs - percentage of the management costs	10 %
Retention of management costs - planned Euro value	€ 80,000



## ANNEX II - Operational rules

### 1. Programme summary

This Annex sets out the operational rules for the programme. The programme agreement is based on the MoU, the concept note and comments made by the FMC. Commitments, statements and guarantees, explicit as well as implicit, made in the concept note, are binding for the National Focal Point and the Programme Operator unless otherwise explicitly stipulated in the annexes to this programme agreement.

The Programme Operator is the Government Office of the Slovak Republic. The Norwegian Barents Secretariat is the Donor Programme Partner (DPP). The Organisation for Economic Cooperation and Development (OECD) is the International Partner Organisation (IPO).

The programme objective is “Integrity and accountability of public administration improved”. The programme shall support projects within the following Programme Areas (PAs):

- PA 16 “Good Governance, Accountable Institutions, Transparency”  
Within this PA, the programme shall support the outcome “Integrity of public administration improved” (Outcome 1), the outcome “Slovak-Ukrainian institutional cooperation enhanced” (Outcome 3) and the outcome “Increased application of the Value for Money principle in public procurement” (Outcome 4).

Outcome 1 shall be supported by way of the pre-defined project (PDP) “Improving Integrity of the Public Administration/IIPA” (PDP no. 1).

Outcome 3 shall be supported by way of a call for projects focusing on institutional cross-border cooperation between Slovakia and Ukraine related to good governance. There will also be one small grant scheme (SGS) with the same theme and focus as the call for projects mentioned above. Finally, outcome 3 shall be supported by the pre-defined project “TRIGLAV- Strengthen the fight against CBRN threats at the Slovakian-Ukrainian border” (PDP no. 4).

Outcome 4 shall be supported by way of the pre-defined project “Responsible public procurement” (PDP no. 3).

- PA 21 “Effectiveness and Efficiency of the Judicial System, Strengthening Rule of Law”  
Within this PA, the programme shall support the outcome “Quality of the judicial system improved” (Outcome 2) by way of the pre-defined project “Enhancing the efficiency of the judicial system through the protection/empowerment of victims and vulnerable parties” (PDP no. 2).

### 2. Eligibility

#### 2.1 Eligible applicants:

The rules on eligibility of project promoters and project partners are set in Article 7.2 of the Regulation. In accordance with Article 7.2.4, the following entities shall be eligible:

	<b>Eligible applicants (Project Promoters)</b>	<b>Eligible partners</b>
<b>Call for proposals</b> <b>Outcome 3</b> “Slovak-Ukrainian institutional cooperation enhanced”	Any public entity established as a legal person in the Slovak Republic	In accordance with Article 7.2.2 of the Regulation

<b>SGS</b> <b>Outcome 3</b> “Slovak-Ukrainian institutional cooperation enhanced”	Any public entity established as a legal person in the Slovak Republic	In accordance with Article 7.2.2 of the Regulation
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All projects funded under the call for proposals and the SGS must include at least one public entity established as a legal person in Ukraine, as a partner.

## 2.2 *Special rules on eligibility of costs:*

Costs are eligible in accordance with chapter 8 of the Regulation.

In accordance with Article 8.5.1 e) of the Regulation, project promoters or project partners that are international organisations or bodies or agencies thereof, may identify their indirect costs in accordance with the relevant rules established by such organisations.

## 3. **Bilateral relations**

### 3.1 *Bilateral relations*

The programme shall contribute to strengthening bilateral relations between the Slovak Republic and the Donor States.

The programme shall as appropriate facilitate donor partnership projects by carrying out, *inter alia*, matchmaking events and activities in conjunction with launching calls for proposals, as well as by encouraging donor partnership projects in call texts.

The further use of the funds for bilateral relations allocated to the programme shall be agreed in the Cooperation Committee.

## 4. **Selection of projects and financial parameters**

### 4.1 *Open calls and availability of funds (including number of calls, duration of calls, and estimated size):*

	<b>Indicative timing</b>	<b>Total available amount</b>	<b>Maximum/Minimum grant applied for</b>
<b>Call for proposals</b> <b>Outcome 3</b> “Slovak-Ukrainian institutional cooperation enhanced”	Second semester 2019	€ 2,000,000	€ 1,000,000 / € 200,000
<b>SGS</b> <b>Outcome 3</b> “Slovak-Ukrainian institutional cooperation enhanced”	Second semester 2019	€ 500,000	€ 200,000 / € 50,000

### 4.2 *Selection procedures:*

The project evaluation and award of grants shall be in accordance with Article 7.4 of the Regulation.

The Programme Operator shall be responsible for project evaluation and for the award of grants.

The Programme Operator shall establish a Selection Committee that shall recommend the projects to be funded within the programme. The Selection Committee shall consist of at least six persons possessing the relevant expertise. At least two of them shall be external to the Programme Operator and its Partners. The DPP shall be voting member of the Selection Committee. The IPO, the FMC and the National Focal Point shall be invited to participate in the meetings of the Selection Committee as observers.

The Programme Operator shall review the applications for compliance with administrative and eligibility criteria. Applicants whose applications are rejected at this stage shall be informed and given a reasonable time to appeal that decision.

Each application that meets the administrative and eligibility criteria shall be reviewed by two experts: one of these experts shall be appointed by the Programme Operator and the other shall be appointed by the DPP. The experts shall be impartial and independent of the Programme Operator and the Selection Committee.

The experts shall separately score the project according to the selection criteria published with the call for proposals. For the purposes of ranking the projects, the average of the scores awarded by the experts shall be used.

If the difference between the scores given by the two experts is more than 30 % of the higher score, a third expert shall be commissioned by the Programme Operator to score the project independently. In such cases the average score of the two closest scores shall be used for the ranking of the projects.

The Programme Operator shall provide the Selection Committee with a list of the ranked projects. The Selection Committee shall review the ranked list of projects. It may modify the ranking of the projects in justified cases. The justification for the modifications shall be detailed in the minutes of the meeting of the Selection Committee. If such a modification results in a project's rejection, the affected applicant shall be informed in writing about the justification for the modification. The Selection Committee shall submit the list of recommended projects to the Programme Operator.

The Programme Operator shall verify that the selection process has been conducted in accordance with the Regulation and that the recommendations from the Selection Committee comply with the rules and objectives of the programme. Following such verification, the Programme Operator shall, based on the recommendation of the Selection Committee, make a decision on which projects shall be supported. Prior to making such decision, an on the spot visit may be carried out by the Programme Operator. If the Programme Operator modifies the decision of the Selection Committee or suggests modification of the project, it shall inform the applicants affected and provide them with a justification.

The Programme Operator shall notify the applicants about the results of the selection process within a reasonable time and publicise the results.

The process set out in this Article shall apply to all calls for proposals, including the SGS, under this programme.

#### *4.3 Project grant rate:*

Grants to projects from the programme may be up to 100% of total eligible expenditure of the project. The project grant rate shall in all cases be set at a level that complies with the State Aid rules in force and takes into account any and all other forms of public support granted to projects. Any remaining costs of the project shall be provided or obtained by the project promoter.

## **5. Additional mechanisms within the Programme**

### 5.1 Pre-defined projects

There will be four pre-defined projects implemented under the programme:

1) “Improving integrity of the Public Administration / IIPA”

Project Promoter: Government Office of the Slovak Republic

Donor project partner: N/A

Other project partner: OECD

Programme outcome the project contributes to: “Integrity of public administration improved”

Total maximum eligible project costs: € 1,500,000

Project grant rate: 100%

Maximum project grant amount: € 1,500,000

The project shall primarily focus on horizontal coordination in the public sector, targeting management of human resources in the area of integrity.

The project shall include, *inter alia*, the following activities:

- An Integrity Review elaborated by the OECD, focused on strengthening the public integrity system, monitoring and evaluation of integrity policies for a strategic and evidence-based approach to public integrity, fostering an open culture of integrity in the public sector and related issues;
- A Pilot initiative on behavioural insights shall be carried out, implemented in specific areas identified through the Integrity Review, where the effectiveness of additional law and legal instruments to counter corruption and prevent integrity violation is limited;
- An opinion survey, containing questions related to experience and incidence of corrupt practices, tolerance vis-à-vis integrity violations, or questions related to the observed effectiveness of specific integrity policies;
- As part of the Review, the OECD will facilitate the development of an action plan to be co-drafted and implemented by the project promoter. A progress report on monitoring and evaluation of the action plan shall be drafted by the OECD in consultation with the project promoter;
- Codes of ethics and codes of conduct elaborated and implemented by the project promoter, in consultation with the OECD;
- Model sectoral anti-corruption programmes and methodological tools for the corruption risk management shall be developed by the project promoter to be implemented horizontally in the public sector, in consultation with the OECD;
- Establishment and maintenance of a register of sensitive positions and processes in the public sector exposed to corruption and integrity violations shall be elaborated and maintained by the project promoter, in cooperation with the OECD;
- A set of indicators to measure various aspects of integrity of the civil administration, including corruption risk registers a risk scoring tool, shall be developed in cooperation with the OECD;
- Comprehensive capacity-building and awareness-raising activities on corruption prevention and the protection of whistle-blowers shall be carried out by the project promoter, based on the ongoing mapping of the Slovak legislation recently carried out by the OECD.

2) “Enhancing the efficiency of the justice system through the protection/empowerment of victims and vulnerable parties”

Project Promoter: Ministry of Justice of the Slovak Republic

Donor project partner: N/A

Other project partner: N/A

Programme outcome the project contributes to: “Quality of the judicial system improved”

Total maximum eligible project costs: € 1,200,000

Project grant rate: 100%



Maximum project grant amount: € 1,200,000

The overall aim of the project is to contribute to the increased efficiency and quality of the judicial system in two areas: a) protection of victims of crime, and b) protection of minors within family law disputes. The project will focus on settling family law disputes in so called “family courts”, complementing the “Domestic and Gender-based Violence” programme implemented in Slovak Republic under the 2014-2021 Norwegian Financial Mechanism.

The project shall include, *inter alia*, the following activities:

- Refurbishment of the court premises in 8 courts in order to make them more child-friendly;
- Educational programme for mediators and judges, aimed to make judges and mediators more sensitive towards the needs of minors, and specialise them on family-law matters;
- Two study visits to Norway involving judges and prosecutors. A number of inter-ministerial meetings will be held;
- A media campaign on the rights of victims targeting both the general public and experts, through the development of an interactive website, information leaflets as well as through a campaign targeting television and the printed press;
- Two education programmes targeting judges and prosecutors aiming at improving the knowledge about handling of victims.

### 3) “Responsible public procurement”

Project Promoter: Public Procurement Office (PPO)

Donor project partner: N/A

Other project partner: OECD

Programme outcome the project contributes to: “Increased application of the Value for Money principle in public procurement”

Total maximum eligible project costs: € 1,500,000

Project grant rate: 100%

Maximum project grant amount: € 1,500,000

The pre-defined project will contribute to a more effective system of public procurement, mainly through strengthening of the application of the value for money principle including the Most Economically Advantageous Tender (MEAT) criteria in international cooperation with the OECD as well as possibly with other institutions from the donor states.

The project shall include, *inter alia*, the following activities:

- Functional review of the Public Procurement Office (PPO) and the existing public procurement legislation and methodology, focused on:
  - Appraisal of risk areas in the procurement processes and practice of the PPO, taking into consideration the future application of more sophisticated award criteria as the MEAT criteria and their implications on control, transparency and accountability frameworks;
  - The existing methodology, guidance and practical tools to achieve value for money (VfM) in the procurement process;
  - An analysis of the current working practices of Public Procurement Office (PPO) vis-à-vis the Contracting Authorities, to improve the effectiveness of their guidance.
- Elaboration of specific methodology, in cooperation among the PPO, the OECD, and the professional chambers, including methodology and standardised templates on:
  - Pre-tender market consultations

- MEAT criteria
  - Green procurement, social aspects in public procurement and the procurement of innovations in connection with MEAT criteria
  - Workshops, training and promotion of application of the above mentioned, in order to reach public consensus and understanding of the need for more transparent (market consultations), more efficient (MEAT criteria) and more effective (Green, Social and Innovation Procurement) public procurement. The PPO will manage through own capacities several special working groups - with the focus on green, social and innovative aspects of the public procurement and other criteria than price (MEAT).
- Transfer of best practices in two specific sectors, transport and health, in cooperation between the Public Procurement Office (PPO) and the OECD, related to:
    - A list of possible measures based on world-wide best practices from which a strategy could be developed to carry out infrastructure with a focus on value for money and integrity
    - Workshops with relevant experts directed to specific groups of people to share best practices in selected areas in line with the main topics related to implementation of infrastructure projects identified in the review.
  - New web portal with relevant information from public procurement area /with the focus on green, social and innovative aspects of the public procurement, MEAT criteria/ will be created.
  - A number of professional workshops will be organized by the PPO for Slovak contracting authorities per year.

#### 4) “TRIGLAV – Strengthen the fight against CBRN threats at the Slovak-Ukrainian border”

Project Promoter: Ministry of Interior of the Slovak Republic

Donor project partner: "Norwegian Radiation and Nuclear Safety Authority"

Other project partners: State Border Guard Service of Ukraine, National Police of Ukraine, Secret Service of Ukraine, International CBRN Risk Mitigation Research Centre

Programme outcome the project contributes to: “Slovak-Ukrainian institutional cooperation enhanced”

Total maximum eligible project costs: € 2,500,000

Project grant rate: 100%

Maximum project grant amount: € 2,500,000

This project aims to strengthen cross-border cooperation in order to enhance the detection and interception of illicit CBRN (chemical, biological, radioactive and nuclear) materials on the Slovakian-Ukrainian border. It is a continuation of a project funded under the “Cross-border Cooperation” programme implemented in the Slovak Republic under the 2009-2014 Norwegian Financial Mechanism.

The project shall include, *inter alia*, the following activities:

- Workshops with the participation of experts competent in the fight against illegal handling of CBRN materials from the Slovak Republic, Ukraine and Norway, in order to implement the Joint Concept of Operation in Internal Acts of relevant stakeholders;
- Study visits to countries with a functional effective system of fight against the illegal handling of CBRN materials and with an effective and consistent form of education and training in this area;
- Workshops with the participation of experts competent in the fight against illegal handling of CBRN materials from the Slovak Republic, Ukraine and Norway in order to create and formalise an effective and consistent system of education and training in the field of fight against illegal handling with CBRN materials;

- Seminars and trainings for employees competent in the fight against illegal handling of CBRN materials of the Slovak Republic and Ukraine in order to improve their level of skills and knowledge in the field;
- Purchase of detection devices and protective equipment for the authorities competent in the fight against illegal handling of CBRN materials in the Slovak Republic and Ukraine in order to ensure the compatibility between the security forces allowing the joint interventions or joint investigations and facilitating subsequent cooperation of these entities in the area of continuous education of its employees;
- Seminars on the issue of illicit handling of CBRN materials for private companies handling legally with CBRN materials.

## 5.2 *Financial Instruments*

Not applicable

## 6. **Programme Management**

### 6.1 *Payment flows*

The Programme Operator shall ensure that payments to projects are made in a timely manner. Interim and final payments to the projects shall be based on approved project reports.

Payments of the project grant shall take the form of advance payment, interim payments and a final payment. The level of advance payment to projects shall be set out in the project contract. The maximum level of advance payment shall be linked to the project budget and duration as follows:

<b>Project implementation duration<sup>1</sup></b>	<b>Advance payment</b>	<b>1<sup>st</sup> Interim payment</b>	<b>2<sup>nd</sup> Interim payment</b>	<b>3<sup>rd</sup> Interim payment</b>	<b>4<sup>th</sup> Interim payment</b>	<b>Final payment<sup>2</sup></b>
Less than 24 months	20%	40%	30%	-	-	10%
24-36 months	15%	30%	25%	20%	-	10%
More than 36 months	10%	20%	20%	25%	15%	10%

The advance payment shall be paid following the signature of the project contract. Subsequent payments shall be paid after the approval of project interim reports. The final payment will be paid after approval of the final report.

An advance payment, if any, of a percentage of the total grant amount shall be paid within 15 working days from the submission of a request or within the period set in the project contract. The interim payments shall be paid within 1 month after the approval of project interim reports.

<sup>1</sup> The project contract may set suspensive conditions related to advance, interim and/or final payments. In justified cases, at the Programme Operator's discretion, a project promoter may receive extraordinary payments to ensure sufficient funds for the projects during the implementation so as to avoid any liquidity problems, provided that the Programme Operator has sufficient capacity to proceed with these payments.

<sup>2</sup> Retention may be applied at the end of the implementation or pro rata from each advance payment and interim payments.

Upon approval of the final project report a final balance payment, if applicable, shall be made within one month.

The approval of project interim and final reports shall take place within three months from the submission of the required information.

The periodicity of reporting periods and deadlines for reporting will be further detailed in the description of the Programme Operator's management and control systems.

### *6.2 Verification of payment claims*

Project promoters shall submit interim and final project reports containing information on project progress and incurred expenditure.

In line with point i) of Article 5.6.2 of the Regulation incurred expenditure reported shall be subject to administrative verifications before the report is approved. Verifications to be carried out shall cover administrative, financial, technical and physical aspects of projects, as appropriate, and be in accordance with the principle of proportionality. Examination of proof of expenditure related to the administrative verifications may be carried out on a sample basis.

Additionally, in line with point ii) of Article 5.6.2 of the Regulation on-the-spot verifications of projects, which may be carried out on a sample basis, shall be carried out.

The detailed procedure for verification will be further detailed in the description of the Programme Operator's management and control systems.

### *6.3 Monitoring and reporting*

The Programme Operator shall monitor, record and report on progress towards the programme's outcomes in accordance with the provisions contained in the legal framework. The Programme Operator shall ensure that suitable and sufficient monitoring and reporting arrangements are made with the project promoters in order to enable the Programme Operator and the National Focal Point to meet its obligations to the Donors.

When reporting on progress achieved in Annual and Final Programme Reports, the Programme Operator shall disaggregate results achieved as appropriate and in accordance with instructions received from the FMO.

### *6.4 Programme administrative structures*

Not applicable

## **7. Communication**

### *7.1 Communication*

The Programme Operator shall comply with Article 3.3 of the Regulation, the Information and Communication Requirements in Annex 3 of the Regulation and the Communication plan for the programme.

## **8. Miscellaneous**

Not applicable